

# Combined Analysis: structure, microstructure, texture, stresses, phase, reflectivity

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# Structure determination on real (textured) samples

## Dilemma 1

Structure and QTA: correlations:  $f(g)$  and  $|F_h|^2$  are different !

$f(g)$ :

- Angularly constrained:  $[h_1 k_1 l_1]^*$  and  $[h_2 k_2 l_2]^*$  make a given angle: more determined if  $F^2$  high
- lot of data (spectra) needed

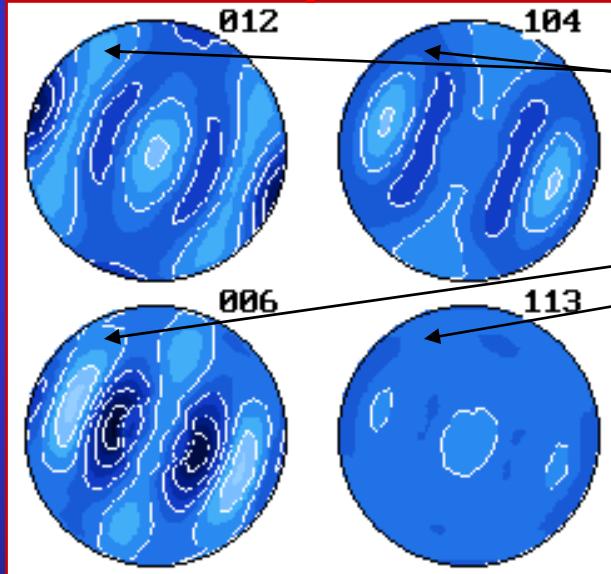
$|F_h|^2$ :

- Position,  $f_i$ , and Debye-Waller constrained
- work on the sum of all diagrams on average

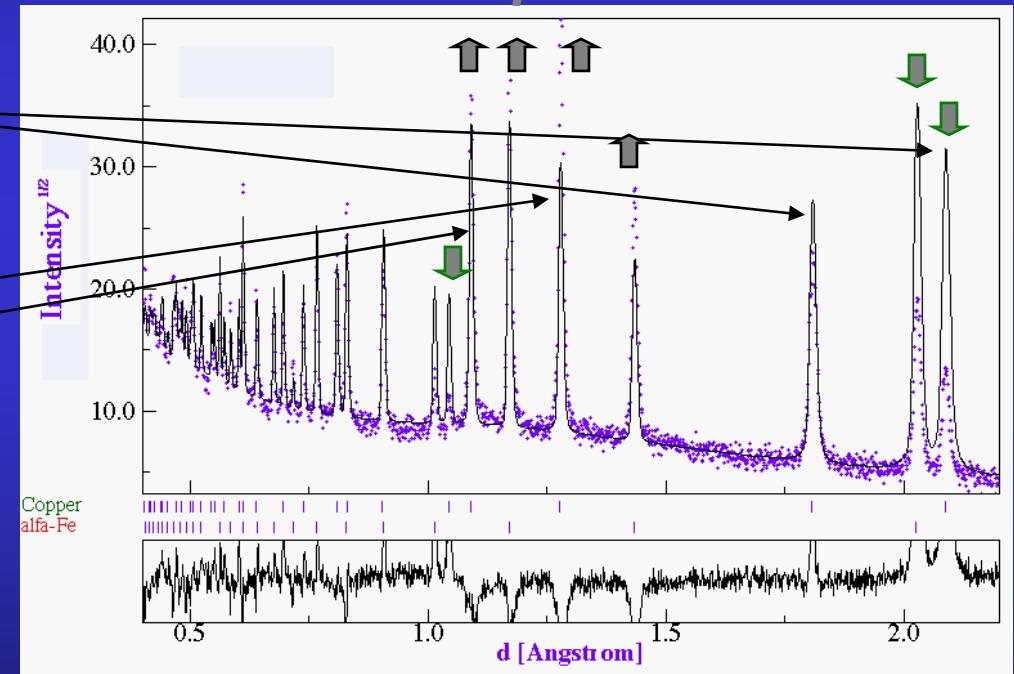
# Texture from Spectra

## Orientation Distribution Function (ODF)

From pole figures



From spectra



Le Bail extraction + ODF: WMV, E-WIMV, Generalized spherical harmonics, components, ADC, entropy maximisation ...

# Why not benefit of texture in Structure determination ?

## Perfect powders:

- overlaps (intra- and inter-)
- no angular constrain
- anisotropy difficult to resc

Single pattern

## Single crystals:

- reduced overlaps
  - max angular constrains
- Perfect texture: max anisotropy

Many individual diffracted peaks

## Textured powders:

- reduced overlaps
- angular constrain =  $f(\text{texture strength})$
- Intermediate anisotropy

Many patterns to measure and analyse

# Rietveld-Structure

$$I_i^{\text{calc}}(\chi, \phi) = \sum_{n=1}^{N_{\text{phases}}} S_n \sum_k L_k \left| F_{k;n} \right|^2 S(2\theta_i - 2\theta_{k;n}) P_{k;n}(\chi, \phi) A + bkg_i$$

## Texture

$$P_k(\chi, \phi) = \int f(g, \varphi) d\varphi$$

- Generalized Spherical Harmonics (Bunge):

$$P_k(\chi, \phi) = \sum_{l=0}^{\infty} \frac{1}{2l+1} \sum_{n=-l}^l k_l^n(\chi, \phi) \sum_{m=-l}^l C_l^{mn} k_n^{*m}(\Theta_k \phi_k)$$

$$f(g) = \sum_{l=0}^{\infty} \sum_{m,n=-l}^l C_l^{mn} T_l^{mn}(g)$$

- Components (Helming):

$$f(g) = F + \sum_c I^c f^c(g)$$

- WIMV (William, Imhof, Matthies, Vinel) iterative process:

$$f^{n+1}(g) = N_n \frac{f^n(g)f^0(g)}{\left( \prod_{h=1}^I \prod_{m=1}^{M_h} P_h^n(y) \right)^{\frac{1}{IM_h}}}$$

$$f^0(g) = N_0 \left( \prod_{h=1}^I \prod_{m=1}^{M_h} P_h^{\text{exp}}(y) \right)^{\frac{1}{IM_h}}$$

E-WIMV (Rietveld only):

with  $0 < r_n < 1$ , relaxation parameter,  
 $M_h$  number of division points of the integral  
around  $k$ ,  
 $w_h$  reflection weight

$$f^{n+1}(g) = f^n(g) \prod_{m=1}^{M_h} \left( \frac{P_h(y)}{P_h^n(y)} \right)^{r_n} \frac{w_h}{M_h}$$

- Entropy maximisation (Schaeben):

$$f^{n+1}(g) = f^n(g) \prod_{m=1}^{M_h} \left( \frac{P_h(y)}{P_h^n(y)} \right)^{\frac{r_n}{M_h}}$$

- arbitrarily defined cells (ADC, Pawlik): Very similar to E-WIMV, with integrals along path tubes

# Residual Stresses shift peaks with $y$

## Dilemma 2

Stress and QTA: correlations:  $f(g)$  and  $C_{ijkl}$

$f(g)$ :

- Moves the  $\sin^2\Psi$  law away from linear relationship
- Needs the integrated peak (full spectra)

strains:

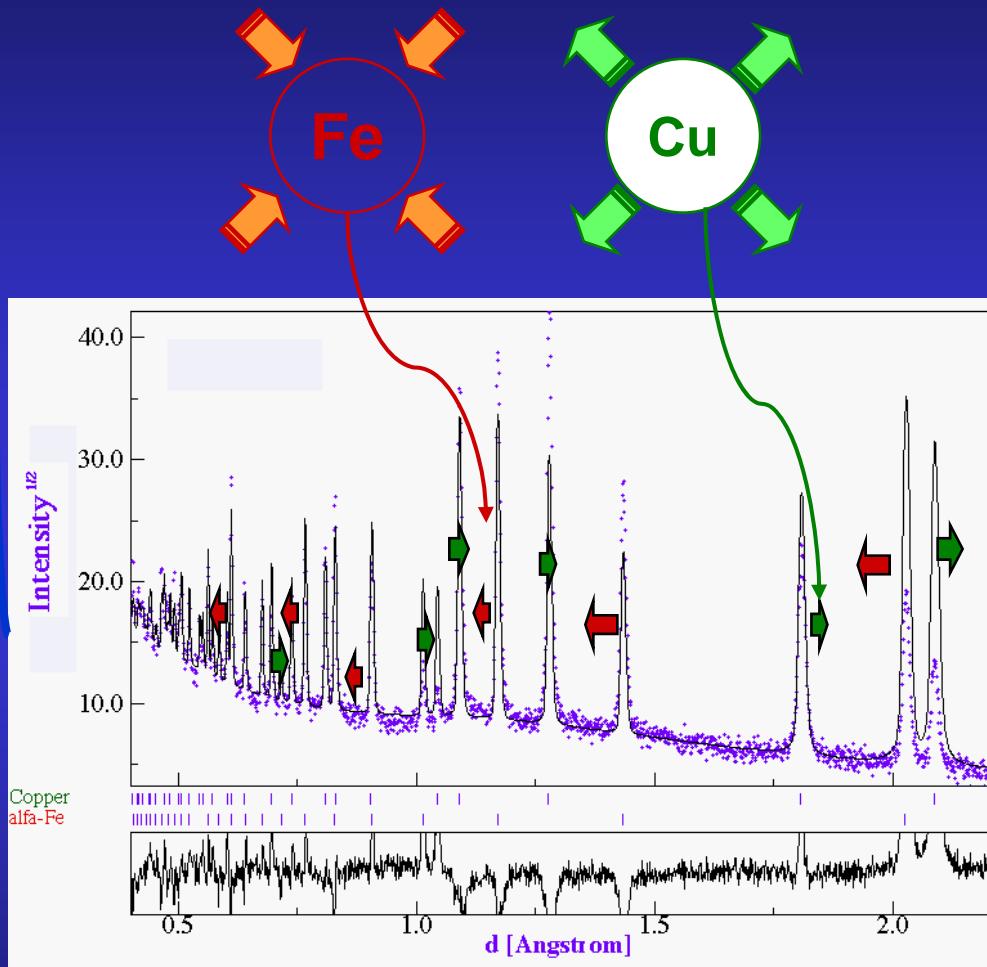
- Measured with pole figures
- needs the mean peak position

Isotropic samples: triaxial, biaxial, uniaxial stress states

Textured samples: Reuss, Voigt, Hill, Bulk geometric mean approaches

# Residual Stresses and Rietveld

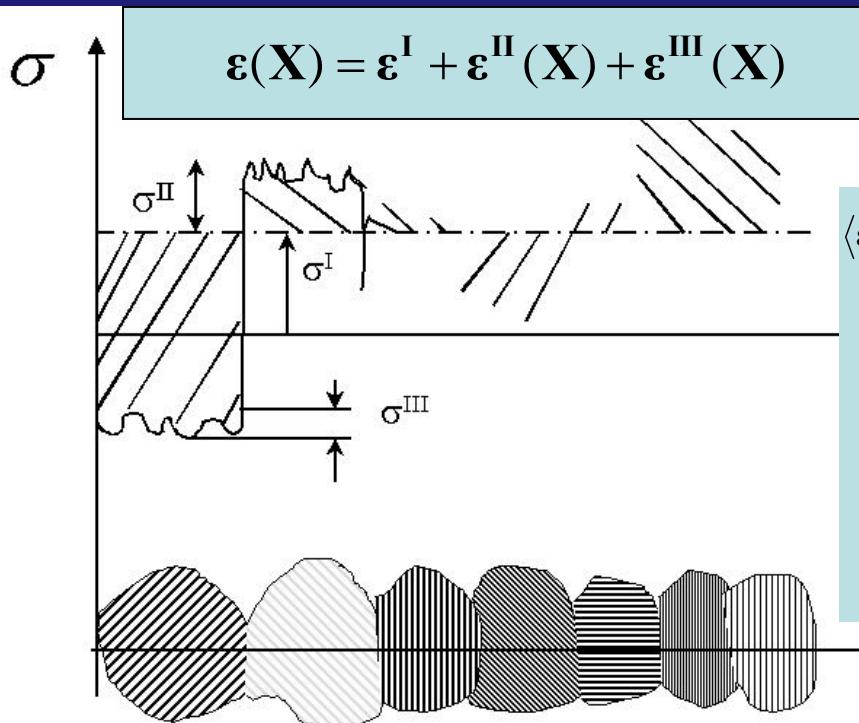
- Macro elastic strain tensor (I kind)
- Crystal anisotropic strains (II kind)



Isotropic samples: triaxial, biaxial, uniaxial stress states

Textured samples: Reuss, Voigt, Hill, Bulk geometric mean approaches

# Strain-Stress



*Isotropic samples:*  
triaxial, biaxial uniaxial stress state

$$\begin{aligned}\langle \boldsymbol{\epsilon}_{\mathbf{h}}(\mathbf{y}) \rangle_{V_d} &= \frac{1}{V_d} \int_{V_d} (\epsilon_{33}^{\text{I}} + \epsilon_{33}^{\text{II}} + \epsilon_{33}^{\text{III}}) dV \\ &= (\epsilon_{11}^{\text{I}} \cos^2 \phi + \epsilon_{12}^{\text{I}} \sin 2\phi + \epsilon_{22}^{\text{I}} \sin^2 \phi - \epsilon_{33}^{\text{I}}) \sin^2 \psi + \epsilon_{33}^{\text{I}} \\ &\quad (\epsilon_{13}^{\text{I}} \cos \phi + \epsilon_{23}^{\text{I}} \sin \phi) \sin 2\psi + \frac{1}{V_d} \int_{V_d} (\epsilon_{33}^{\text{Ile}} + \epsilon_{33}^{\text{Ilti}} + \epsilon_{33}^{\text{Iipi}}) dV \\ &= \frac{\langle d(hkl, \phi, \psi) \rangle_{V_d} - d_0(hkl)}{d_0(hkl)}\end{aligned}$$

$$\chi^2 = \sum_i w_i^2 [\epsilon_i^{calc}(S_{ijkl}^M, \mathbf{h}, \mathbf{y}) - \epsilon_i^{meas}(S_{ijkl}^M, \mathbf{h}, \mathbf{y})]^2$$

Non-linear least-square fit

*Textured samples:*  
triaxial, biaxial uniaxial stress state  
+ ODF + SDF + model

$$\begin{aligned}\langle E(\mathbf{g}) \rangle_{V_d} &= \frac{1}{V_d} \int_{V_d} E^{\text{SC}}(g) f(g) dg \\ &= \left( \prod_{V_d} E^{\text{SC}}(g) f(g) dg \right)^{\frac{1}{V_d}}\end{aligned}$$

## Layered systems

### Dilemma 3

Layer, Rietveld and QTA: correlations:  $f(g)$ , thicknesses and structure

$f(g)$ :

- Pole figures need corrections for abs-vol
- Rietveld also to correct intensities

layers:

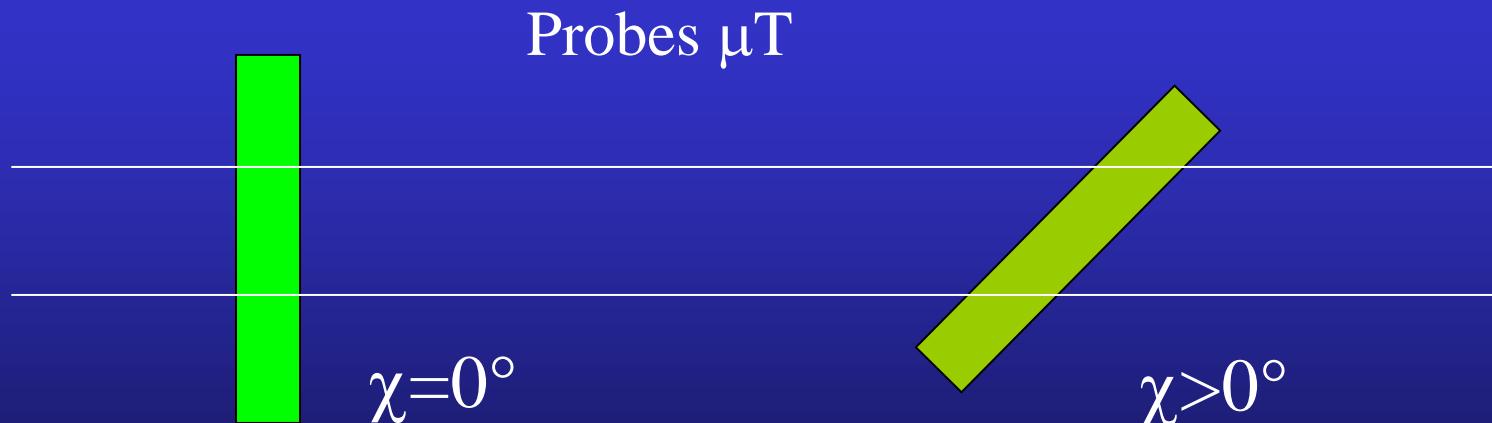
- unknown sample true absorption coefficient  $\mu$
- unknown effective thickness (porosity)

# Layering

## Asymmetric Bragg-Brentano

$$C_{\chi}^{\text{top film}} = g_1 \left( 1 - \exp(-\mu T g_2 / \cos \chi) \right) / \left( 1 - \exp(-2\mu T / \sin \omega \cos \chi) \right)$$

$$C_{\chi}^{\text{cov. layer}} = C_{\chi}^{\text{top film}} \left( \exp \left( -g_2 \sum \mu_i' T_i' / \cos \chi \right) \right) / \left( \exp \left( -2 \sum \mu_i' T_i' / \sin \omega \cos \chi \right) \right)$$



## Phase and Texture

### Dilemma 4

Phase and QTA: correlations:  $f(g)$ ,  $S_\Phi$

$f(g)$ :

- angular relationships
- plays on individual spectra
- essential to operate on textured sample

$S_\Phi$ :

- plays on overall scale factor (sum diagram)

# Phase analysis

- Volume fraction

$$V_{\Phi} = \frac{S_{\Phi} V_{uc\Phi}^2}{\sum_{\Phi} (S_{\Phi} V_{uc\Phi}^2)_{\Phi}}$$

- Weight fraction

$$m_{\Phi} = \frac{S_{\Phi} Z_{\Phi} M_{\Phi} V_{uc\Phi}^2}{\sum_{\Phi} (S_{\Phi} Z_{\Phi} M_{\Phi} V_{uc\Phi}^2)_{\Phi}}$$

Z = number of formula units

M = mass of the formula unit

V = cell volume

# How it works

## Le Bail extraction

$$T_{hkl}^k = T_{hkl}^{k-1} \frac{\sum_i I_i^{\text{exp}} S_{hkl}^i}{\sum_i I_i^{\text{calc}} S_{hkl}^i}$$

- Starts with nominal intensities ( $T_{hkl}$ )
- Computes the full pattern ( $I^{\text{calc}}$ )
- Uses the formula to compute next  $T_{hkl}$
- Cycle the last two steps until convergence
- In Maud, options:
  - Only few cycles for texture (3-5) necessary
  - The range for the weighting of the profile can be reduced
  - Background subtracted or not

# Structure and Residual Stresses (shift peaks with y)

## Dilemma 5

Stress and cell parameters: correlations: peak positions and  $C_{ijkl}$

Cell parameters:

- Measured at high angles
- Bragg law evolution

strains:

- Measured precisely at high angles
- stiffness-based variation, also with  $\Psi$

# Shapes, microstrains, defaults, distributions

## Dilemma 6

Shapes .... and stress-texture-structure: correlations ?

Shapes ....:

- line broadening problem
- average positions modified
- if anisotropic: modification changes with  $y$

Stress-texture-structure:

- need “true” peak positions and intensities
- need deconvoluted signals

Scherrer, Integral breadth, Williamson-Hall ...

$$\langle D \rangle_v = \frac{K\lambda}{\beta_s(2\theta) \cos\theta}$$

More elegant, mandatory for whole-pattern: Stokes deconvolution  
Bertaut-Warren-Averbach treatment, e.g. for a 00l peak:

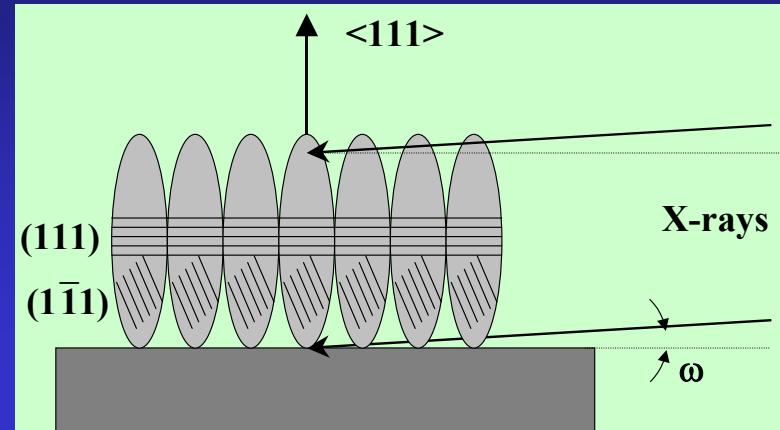
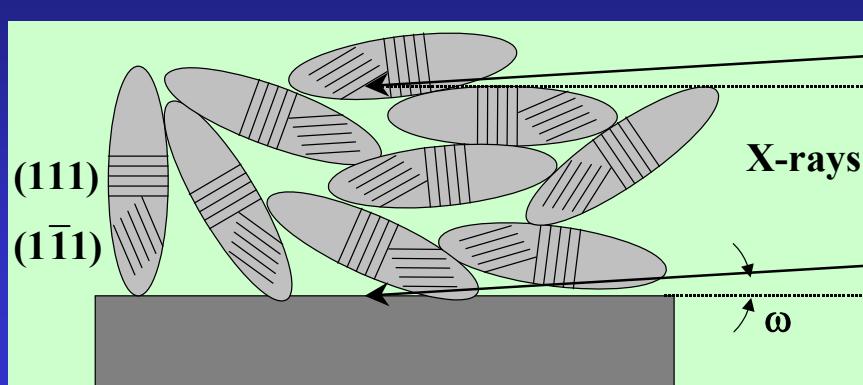
$$A_n = A_n^S A_n^D = \frac{N_n}{N_3} \langle \cos 2\pi l Z_n \rangle$$

$$A_n^S = \frac{N_n}{N_3} = \frac{1}{N_3} \sum_{i=|n|}^{\inf} (i - |n|) p(i)$$

$$\left( \frac{dA_n^S}{dn} \right)_{n \rightarrow 0} = -\frac{1}{N_3}$$

Second derivative: distribution of column lengths

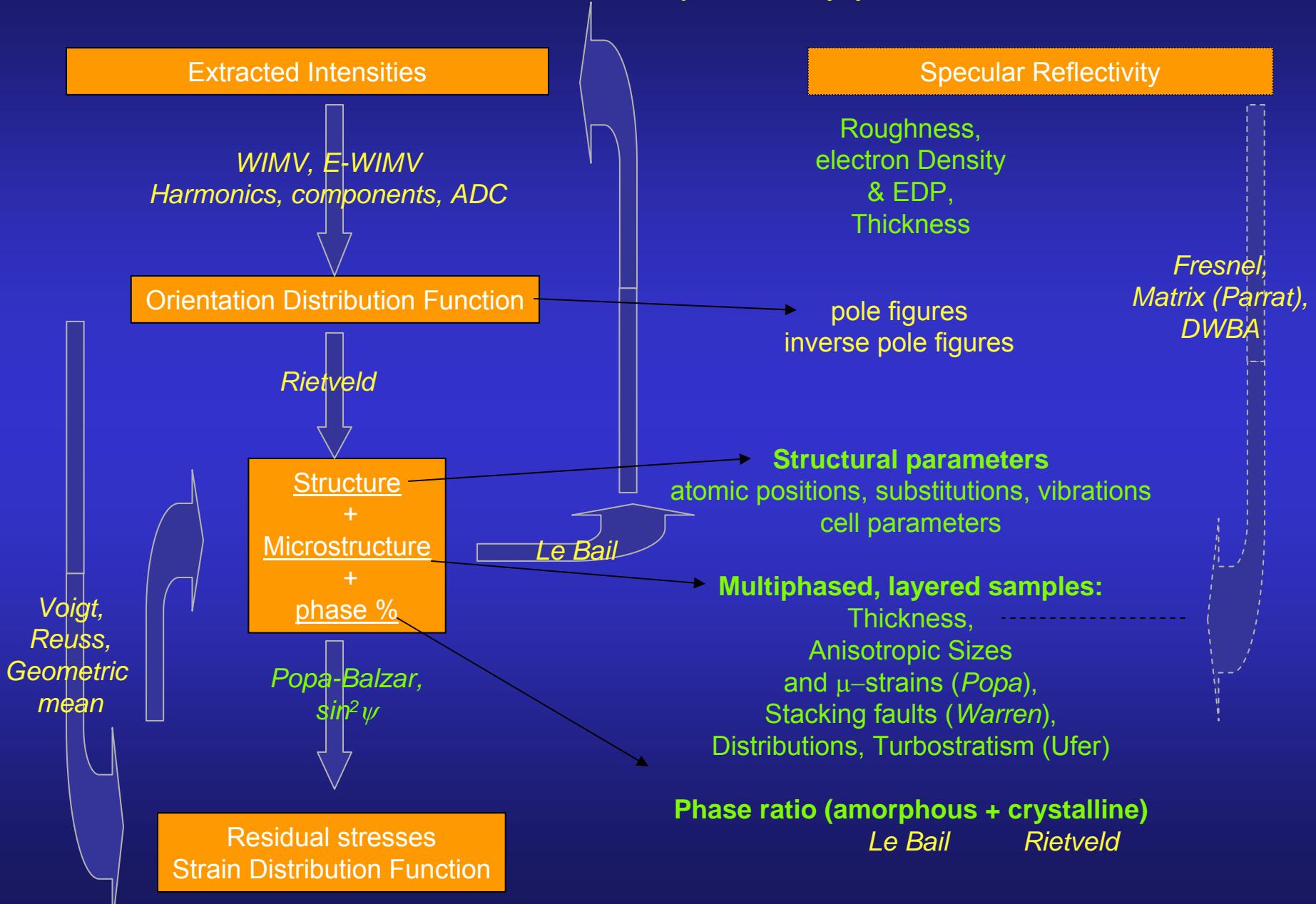
# Anisotropic sizes and microstrains



- Texture helps the "real" mean shape determination
- Determination by peak deconvolution + Popa formalism

$$\begin{aligned} <\mathbf{R}_h> = & R_0 + R_1 P_2^0(x) + R_2 P_2^1(x) \cos\varphi + R_3 P_2^1(x) \sin\varphi + R_4 P_2^2(x) \cos 2\varphi + R_5 P_2^2(x) \sin 2\varphi + \\ & \dots \\ <\varepsilon_h^2> E_h^4 = & E_1 h^4 + E_2 k^4 + E_3 \ell^4 + 2E_4 h^2 k^2 + 2E_5 \ell^2 k^2 + 2E_6 h^2 \ell^2 + 4E_7 h^3 k + 4E_8 h^3 \ell + 4E_9 k^3 h + \\ & 4E_{10} k^3 \ell + 4E_{11} \ell^3 h + 4E_{12} \ell^3 k + 4E_{13} h^2 k \ell + 4E_{14} k^2 h \ell + 4E_{15} \ell^2 k h \end{aligned}$$

# Combined Analysis approach



## Grinding to powderise another dilemma !

Grinding: removes angular relationship, adds correlations

Texture:

- not measured
  - removed ? hope to get a perfect powder
- Strains, defaults, anisotropy ... :
- some removed, some added

Same sample ?

Rare samples ?

# *Minimum experimental requirements*

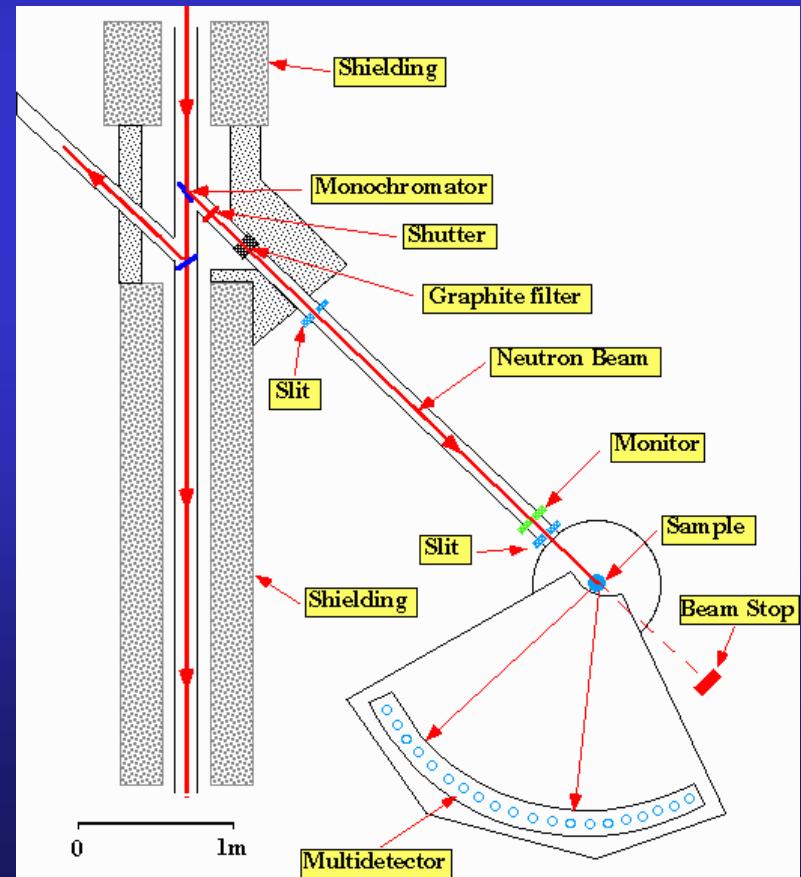
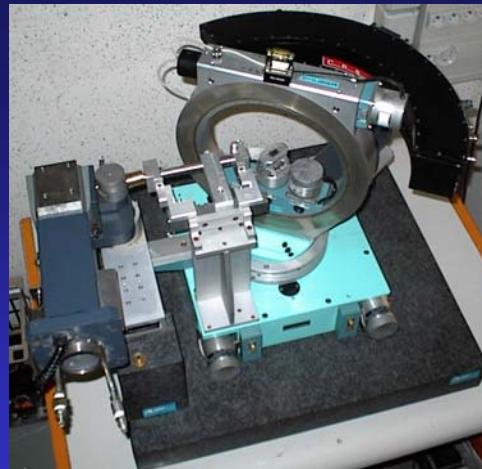
1D or 2D Detector + 4-circle diffractometer  
(X-rays and neutrons)  
CRISMAT, ILL

+

~1000 experiments (2θ diagrams)  
in as many sample orientations

+

Instrument calibration  
(peaks widths and shapes,  
misalignments, defocusing ...)



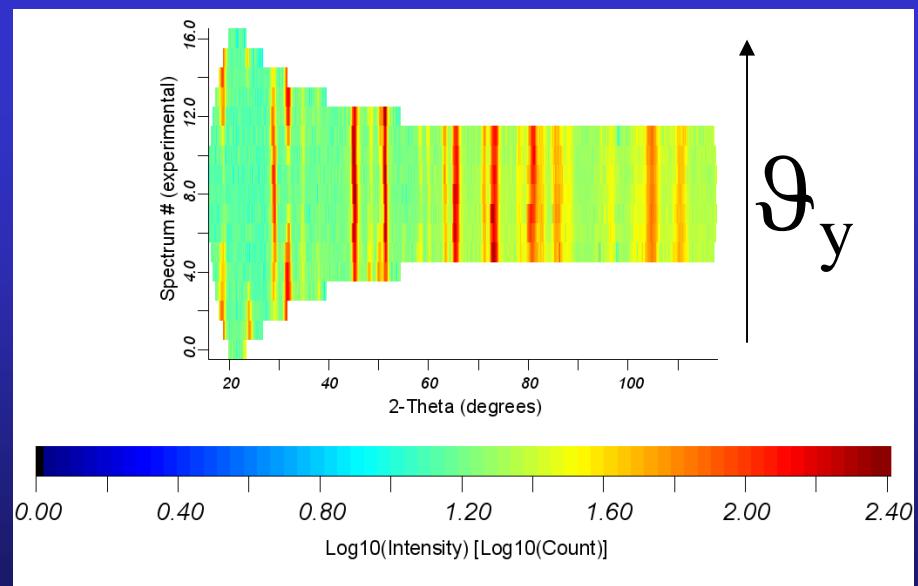
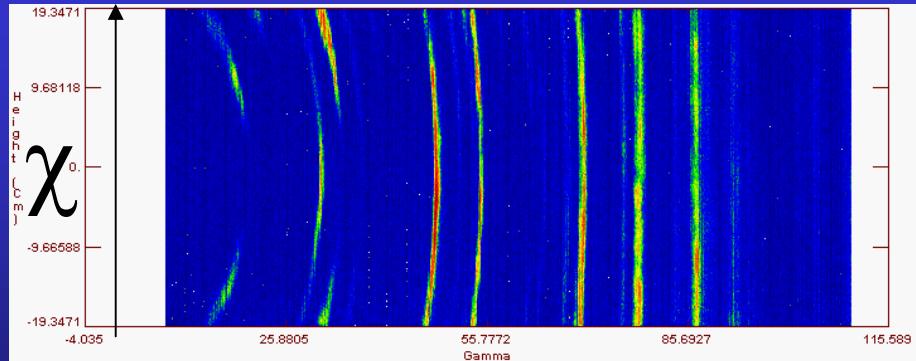
# 2D Curved Area Position Sensitive Detector



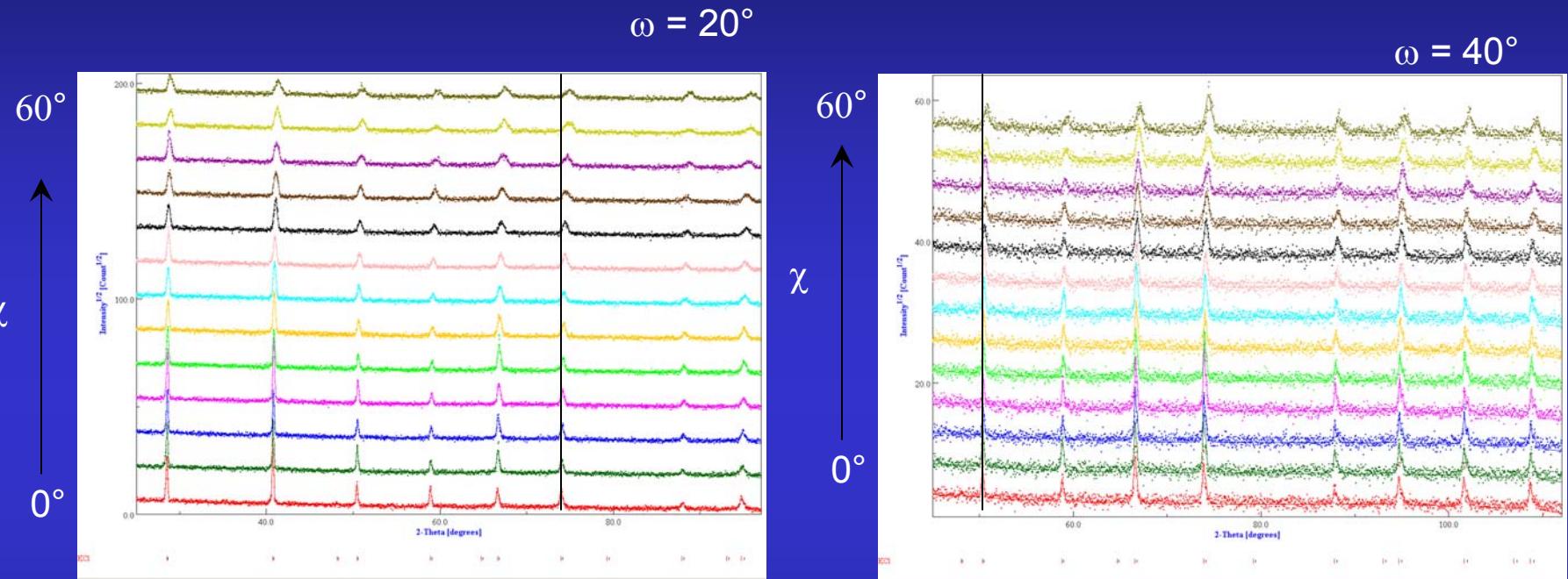
D19 - ILL

+

~100 experiments (2D Debye-Scherrer diagrams)  
in as many sample orientations



# Calibration



KCl, LaB<sub>6</sub> ...



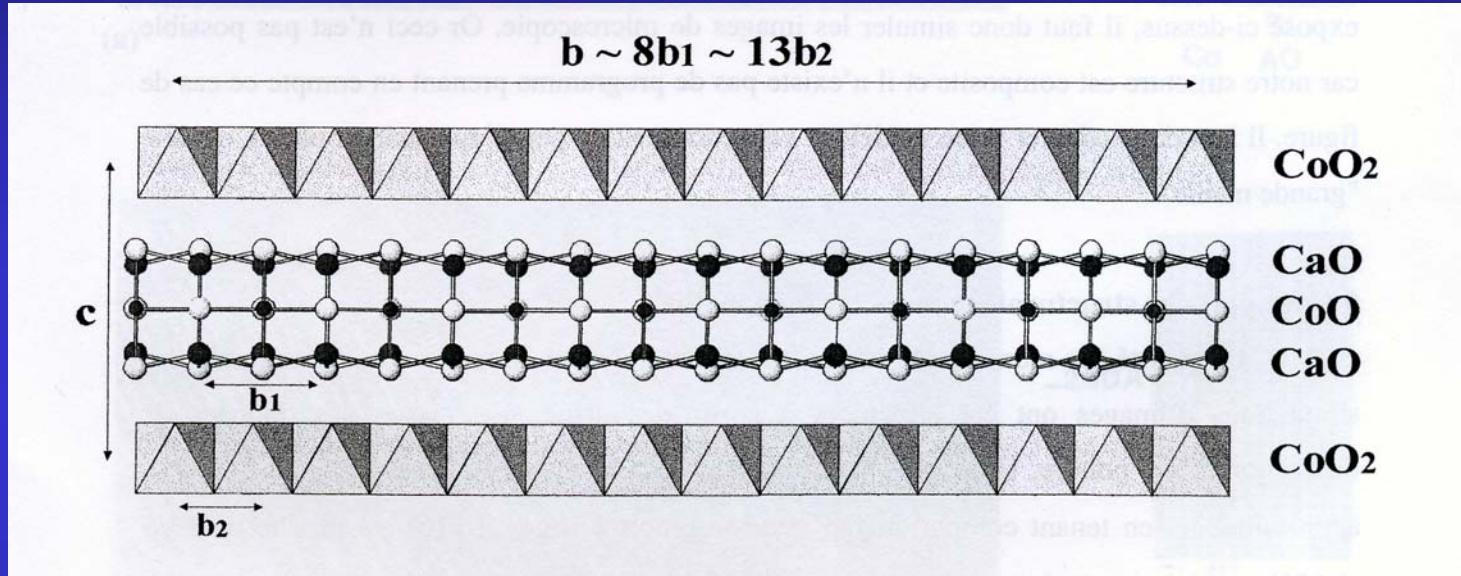
FWHM ( $\omega, \chi, 2\theta \dots$ )  
2 $\theta$  shift  
gaussianity  
asymmetry  
misalignments ...

# Minimization algorithms

- Can be fully used in the method (everywhere)
- Marquardt Least Squares (based on steepest decrease and Gauss-Newton)
  - Efficient, best with few parameters, near the solution
- Evolutionary computation (or genetic algorithm)
  - Slow, not efficient, requires a lot of resources
  - Unlimited number of parameters
  - Can start far from the solution
- Simulated annealing (the solution proceed like a random walk, but the walking step decreases as temperature decreases)
  - In between the Marquardt and evolutionary algorithms
- Simplex (generates  $n+1$  starting solutions as vertices of a polygon,  $n$  number of parameters, and contract/expand the polygon around the minima)
  - Slow on convergence
  - Remains close to the solution, but explore more minima with respect to the Marquardt

# *Ca<sub>3</sub>Co<sub>4</sub>O<sub>9</sub> thermoelectrics*

*Ca<sub>3</sub>Co<sub>4</sub>O<sub>9</sub>: Misfit lamellar and modulated Structure, with high thermopower*

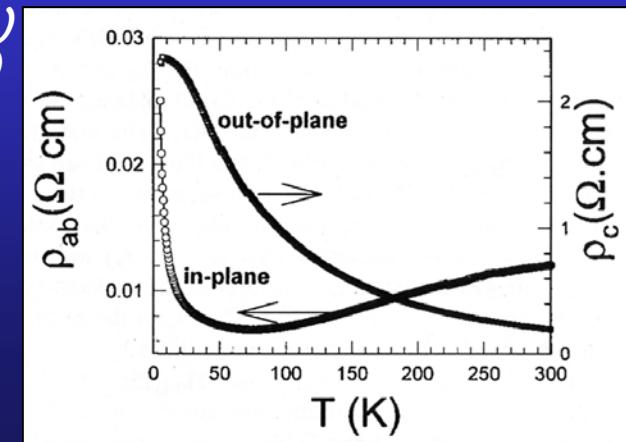


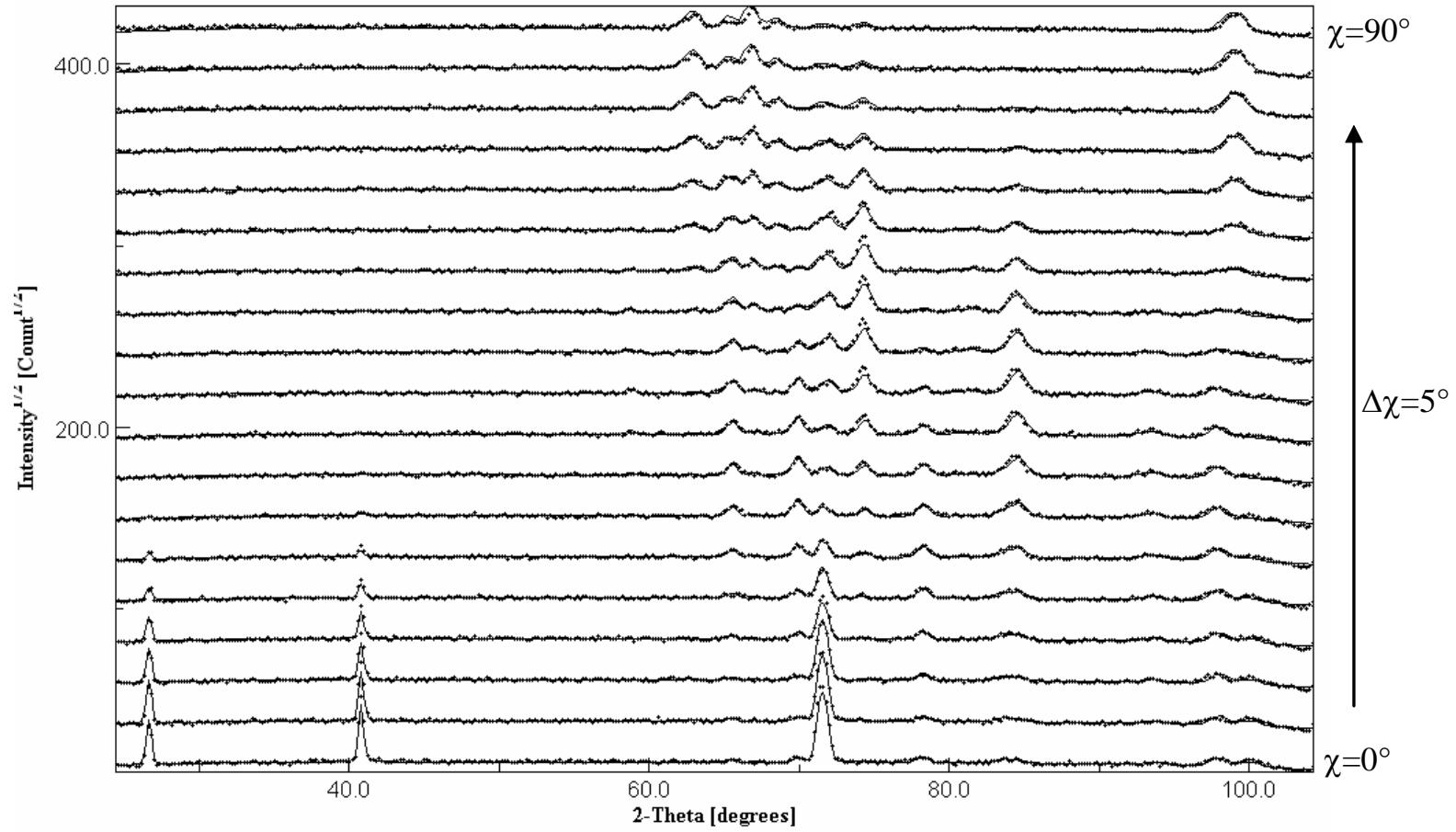
*Two monoclinic sub-systems:*

*S1 with  $a \sim 4.8\text{\AA}$ ,  $b_1 \sim 4.5\text{\AA}$ ,  $c \sim 10.8\text{\AA}$  et  $\beta \sim 98^\circ$  (NaCl-type)*

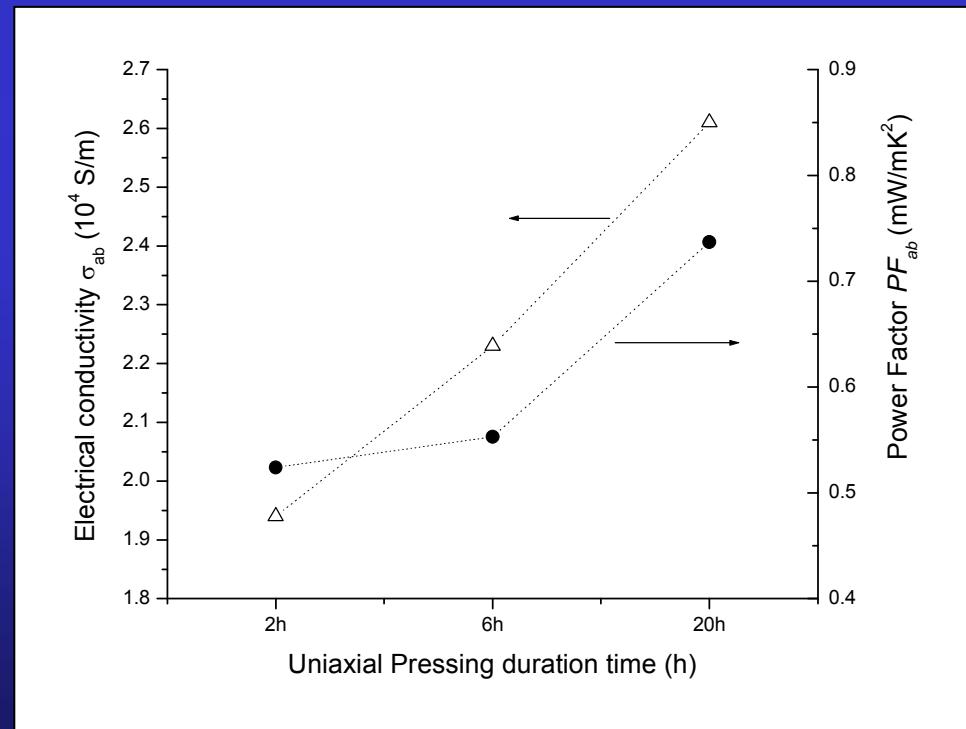
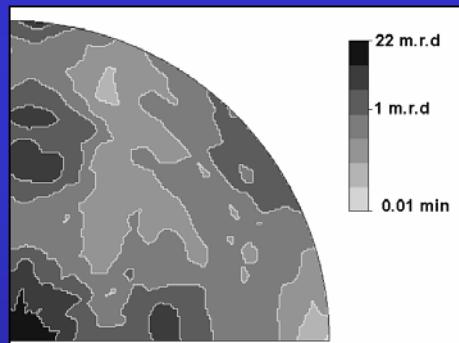
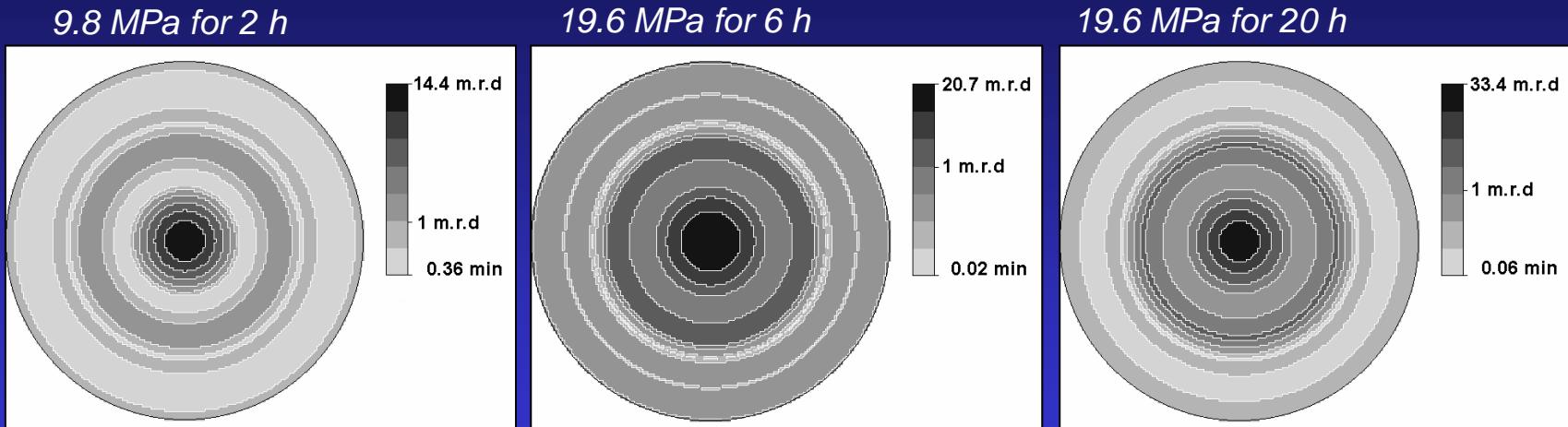
*S2 with  $a \sim 4.8\text{\AA}$ ,  $b_2 \sim 2.8\text{\AA}$ ,  $c \sim 10.8\text{\AA}$  et  $\beta \sim 98^\circ$  (CdI<sub>2</sub>-type)*

$\Gamma = \sigma_{ab}/\sigma_c \sim 10$       **Texture**



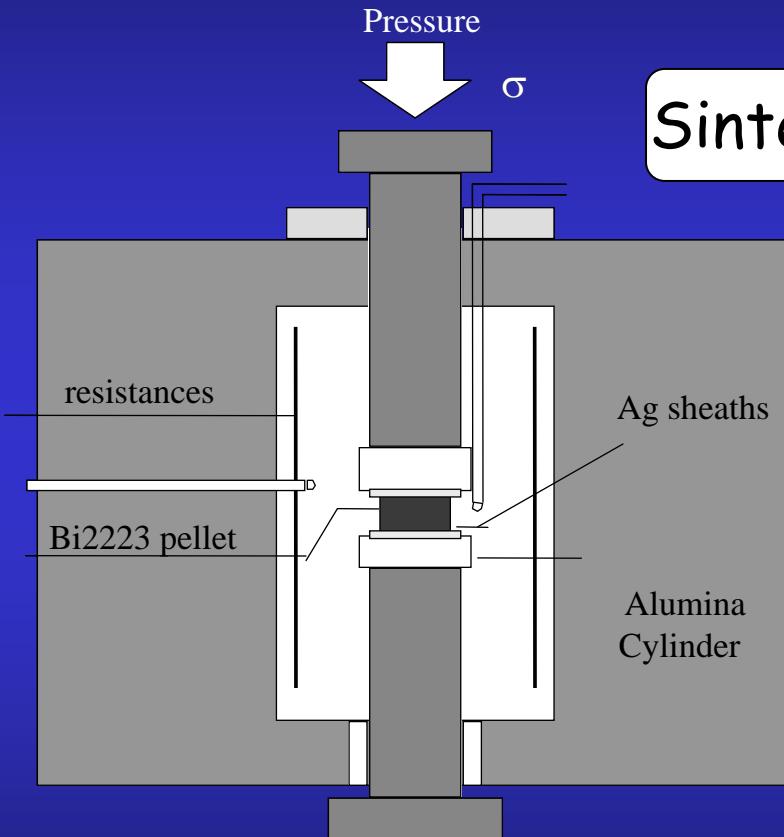


RP=19.7%,  $R_w=11.9\%$

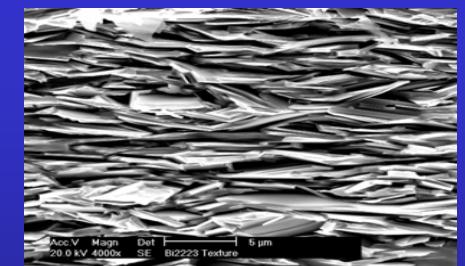
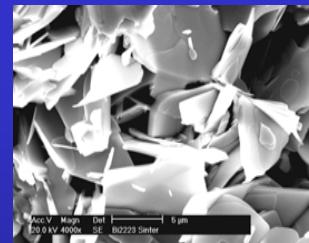
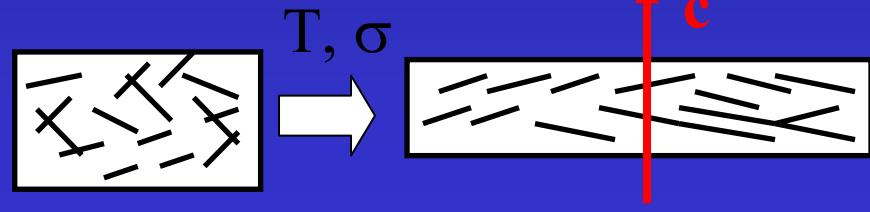


# *Bi2223 compounds*

E. Guilmeau, PhD

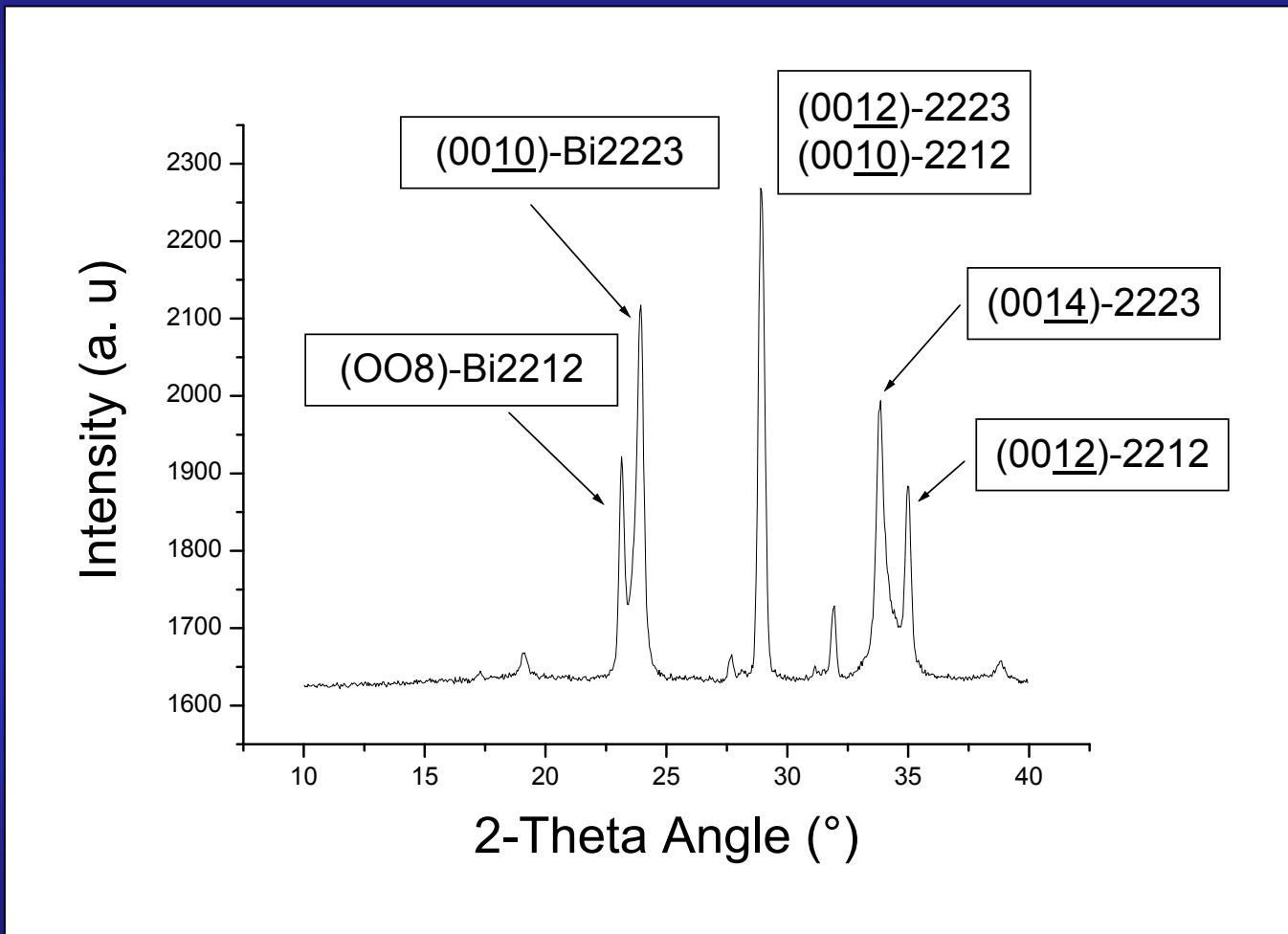


Sinter-Forging

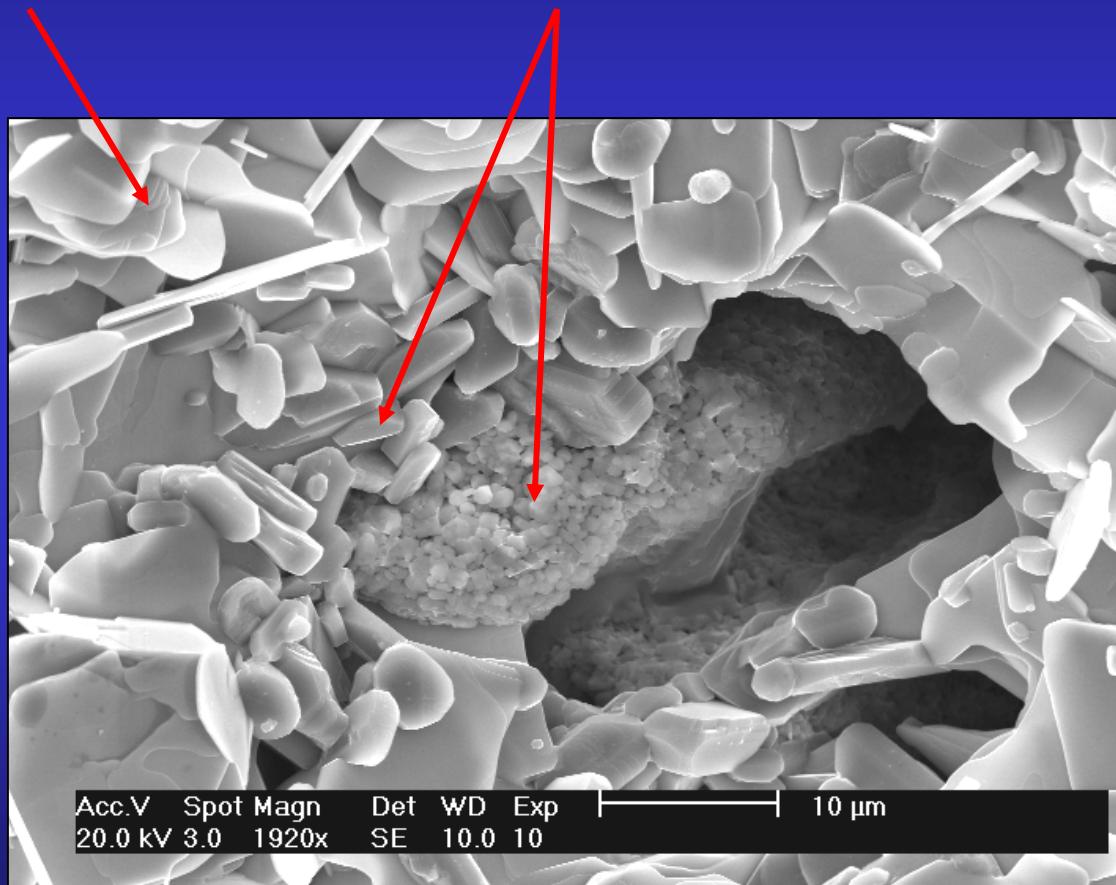


Grain alignment  $\Rightarrow$   $\nearrow J_c$

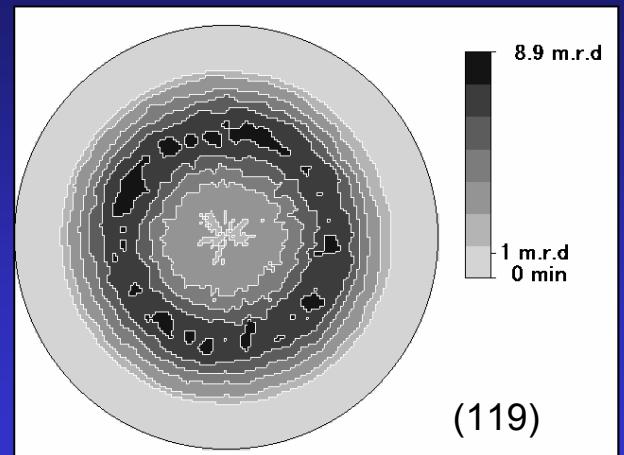
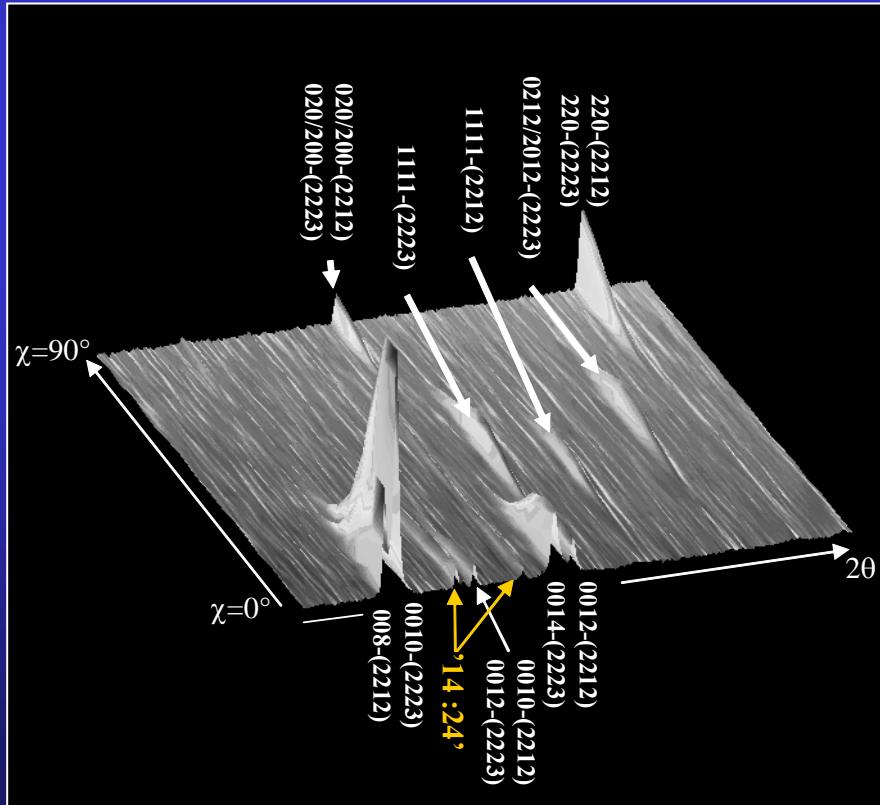
## (00 $\ell$ ) Texture



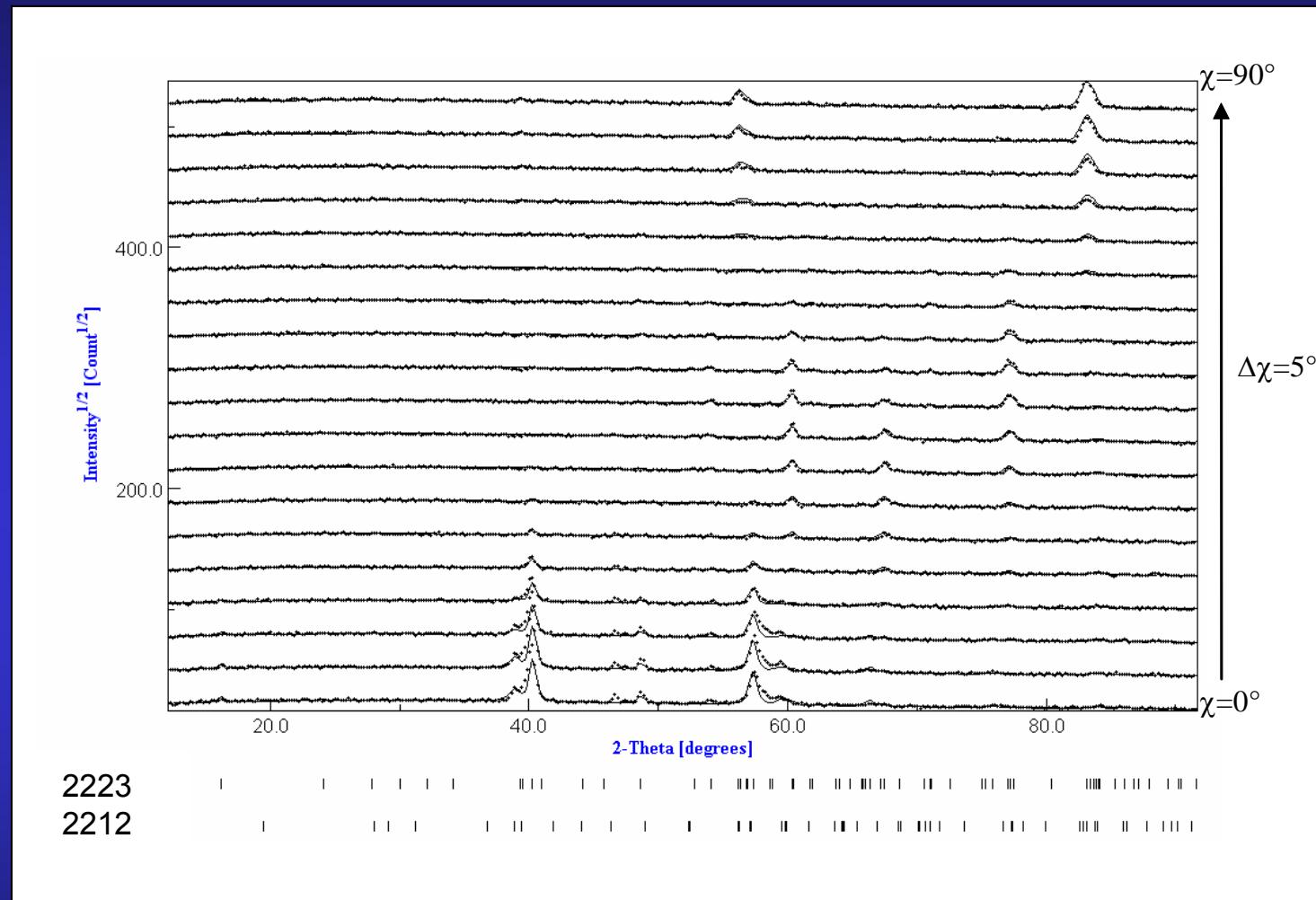
Bi2212 + Secondary phases → Bi2223



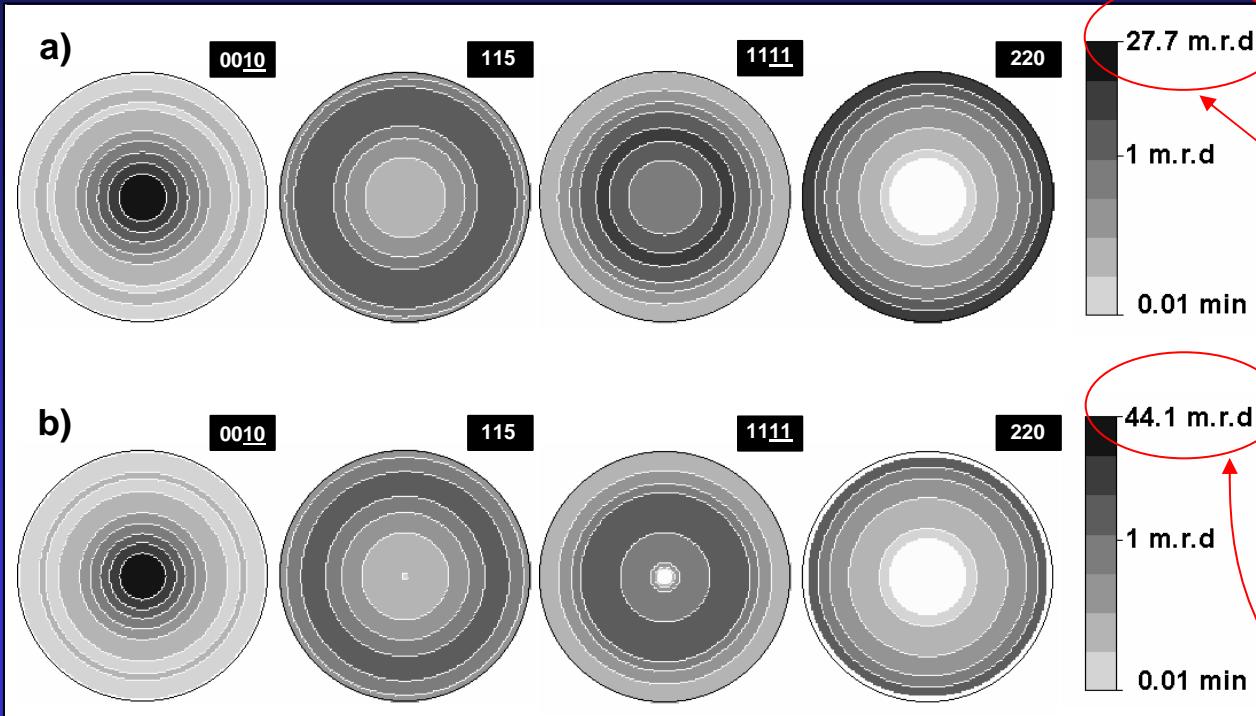
## Combined Analysis



- Neutrons
- Sample:  $\sim 70 \text{ mm}^3$
- $2\theta$  patterns for  $\chi=0^\circ$  to  $90^\circ$
- No  $\varphi$  rotation (fibre texture).



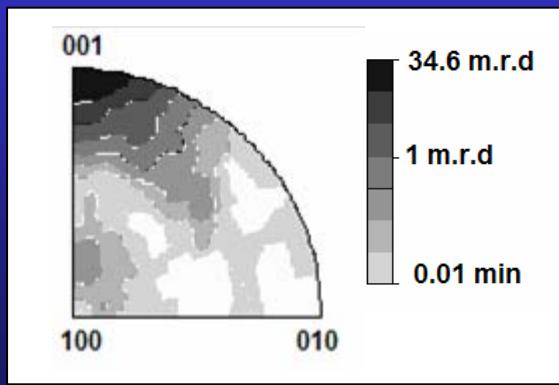
Rw=9.12  
RP=16.24



*Recalculated  
(WIMV)*

*Extracted  
(Le Bail)*

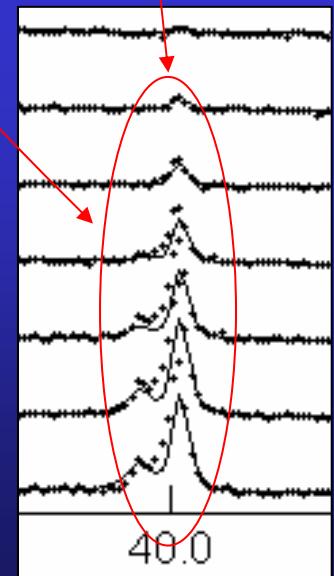
*Logarithmic density scale, equal area projection*



*Logarithmic density scale, equal area projection*

Stacking faults and/or intergrowth on the c-axis  
→ New periodicities and peaks characterized with intermediate c parameters.

However, no algorithm is included to solve intergrowths in the combined approach.

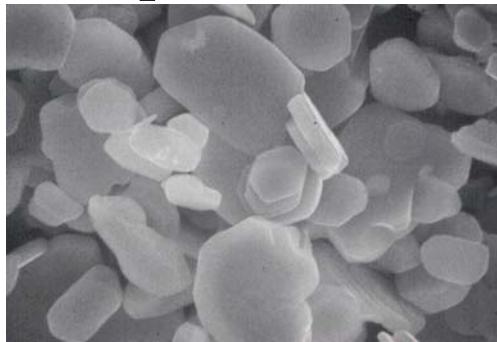


*Effect of the sinter-forging treatment on the texture development, crystal growth, transport properties*

Sinter-forging dwell time (h)	Orientation Distribution Max (m.r.d.)		% Bi2223	Cell parameters (Å)		Crystallite size Bi2223 (nm)	R <sub>b</sub> (%)	R <sub>w</sub> (%)	R <sub>exp</sub> (%)	RP0 (%)	RP1 (%)	$J_c$ (A/cm <sup>2</sup> )
	Bi2212	Bi2223		Bi2223	Bi2212							
20	21.8	20.7	59.9±1.3	a=5.419(3) b=5.391(3) c=37.168(3)	a=5.414(3) b=5.393(3) c=30.800(3)	205±7	7.56	11.1	4.55	17.74	10.56	12500
50	24.1	24.4	72.9±2.9	a=5.419(3) b=5.408(3) c=37.192(3)	a=5.416(3) b=5.396(3) c=30.806(3)	273±10	7.54	11.37	4.58	17.05	11.04	15000
100	31.5	25.2	84.4±4.6	a=5.410(3) b=5.405(3) c=37.144(3)	a=5.412(3) b=5.403(3) c=30.752(3)	303±10	5.4	8.04	3.69	13.54	9.31	19000
150	65.4	27.2	87.0±4.1	a=5.417(3) b=5.403(3) c=37.199(3)	a=5.413(3) b=5.407(3) c=30.792(3)	383±13	6.13	9.12	4.8	16.24	12.25	20000



powder



$\overline{10 \mu m}$

Textured bulk



$\overline{10 \mu m}$

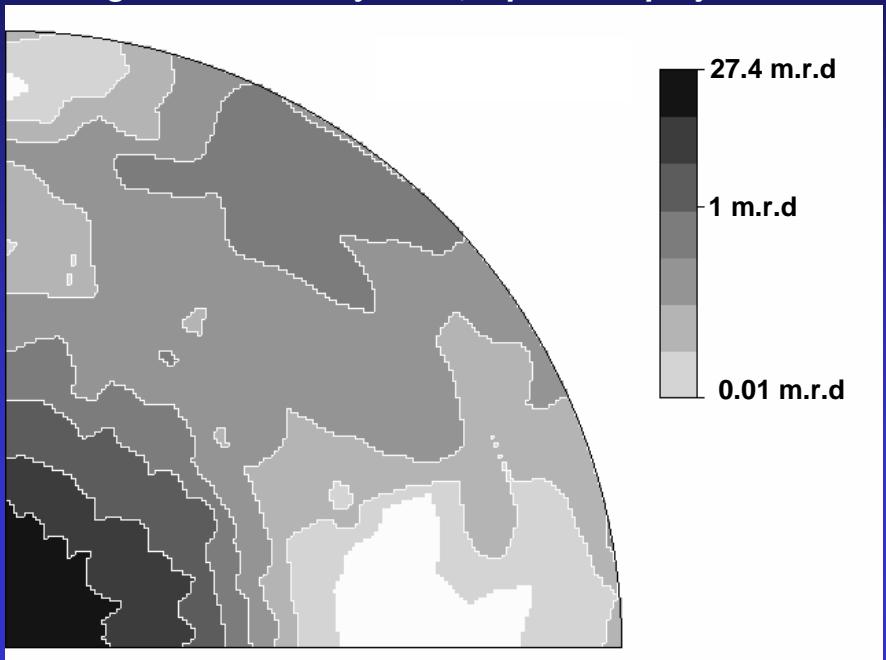
*Magnetic alignment  
and  
Templated Growth  
method*

### ***Analysis:***

- neutrons
- 3D Supercell:  $a=4.8309\text{\AA}$ ,  $b\sim8b1\sim13b2\sim36.4902\text{\AA}$ ,  $c=10.8353\text{\AA}$ ,  $\beta=98.13^\circ$
- 174 atoms/cell
- Sample :  $0.6 \text{ cm}^3$

## *Magnetic Alignment*

*Logarithmic density scale, equal area projection*



- *magnetic alignment really efficient to obtain strong textures*
- *combined analysis of modulated structures possible*

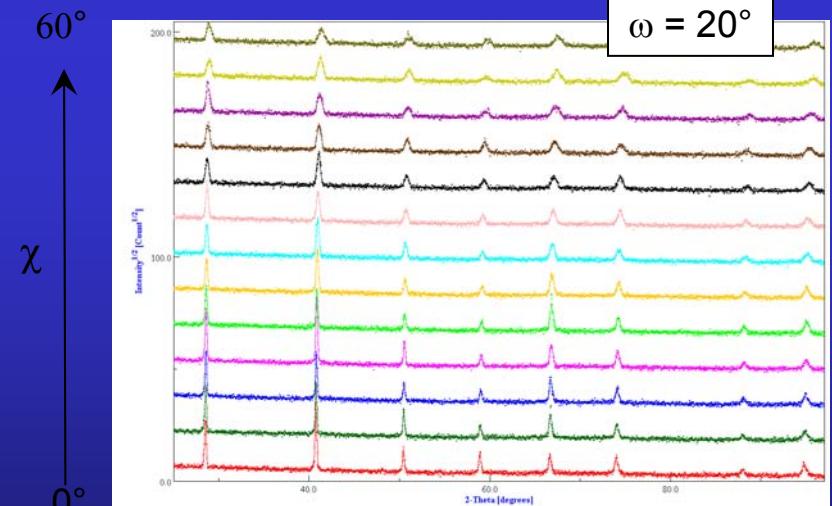
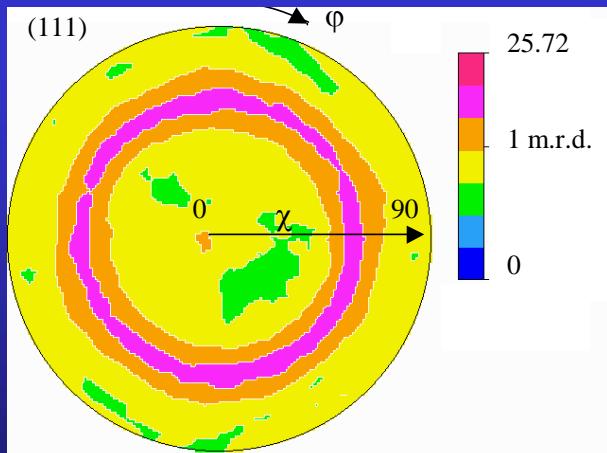
# Ferroelectric PCT films

J. Ricote, Madrid

## thin films:

$(\text{Ca}_{0.24}\text{Pb}_{0.76})\text{TiO}_3$  sol-gel synthesised solutions deposited by spin coating on a substrate of Pt/TiO<sub>2</sub>/Si, with and without a treatment at 650°C for 30 min.

All films are crystallised at 700°C for 50 s by Rapid Thermal Processing (RTP; 30°C/s). A series is also recrystallised at 650°C for 1 to 3 h.



Refinement of individual spectra

# Limitations of the simple Quantitative Texture Analysis

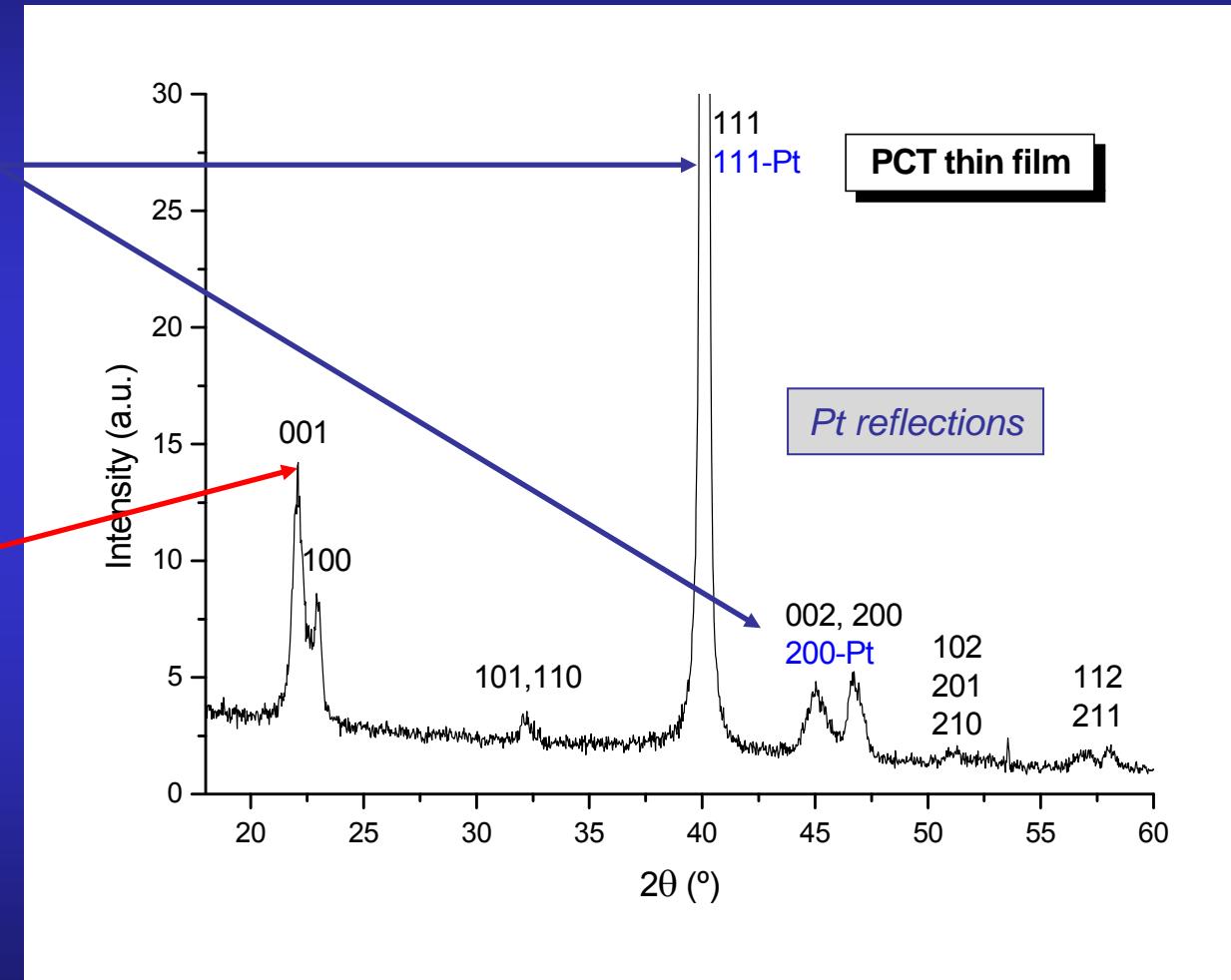
Structural parameters are difficult to obtain due to:

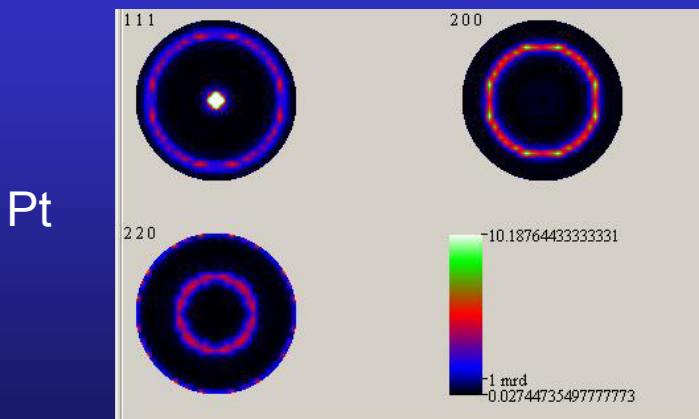
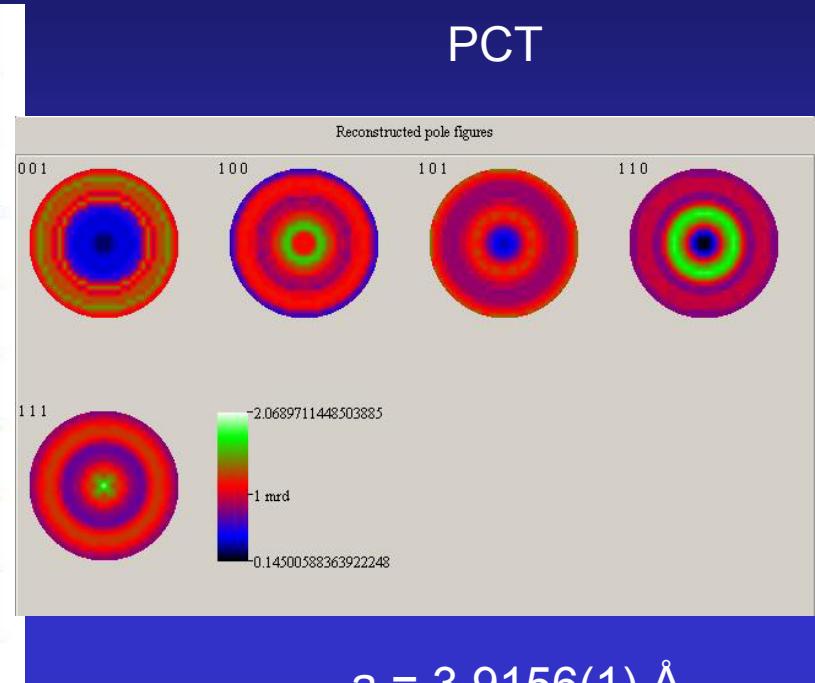
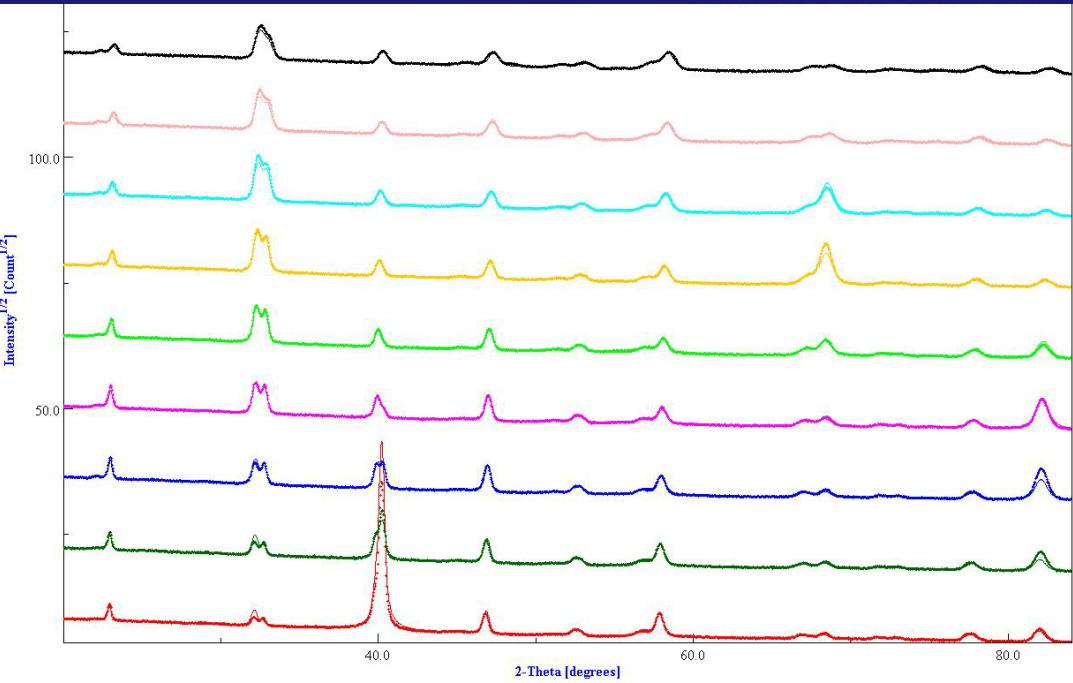
**Substrate influence:**

overlapping of reflections  
from the film and the  
substrate

**TEXTURE effects:**

peaks that do not appear at  
low  $\chi$  angles



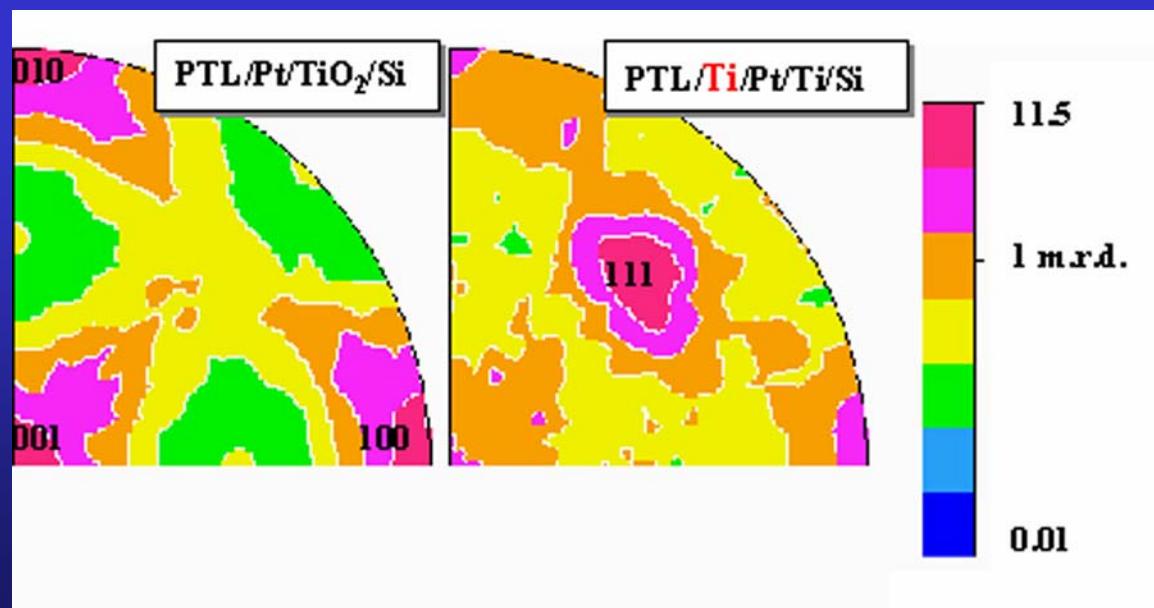


$a = 3.9108(1)$  Å  
 $T = 457(3)$  Å  
 $t_{\text{iso}} = 458(3)$  Å  
 $\varepsilon' = 0.0032(1)$  rms

$a = 3.9156(1)$  Å  
 $c = 4.0497(3)$  Å  
 $T = 2525(13)$  Å  
 $t_{\text{iso}} = 390(7)$  Å  
 $\varepsilon = 0.0067(1)$  rms

$R_W = 13\%$ ;  $R_B = 12\%$ ;  $R_{\text{exp}} = 22\%$ .(Rietveld)  
 $R_W = 5\%$ ;  $R_B = 6\%$  (E-WIMV)

Atom	Occupancy	x	y	z
Pb	0.76	0.0	0.0	0.0
Ca	0.24	0.0	0.0	0.0
Ti	1.0	0.5	0.5	0.477(2)
O1	1.0	0.5	0.5	0.060(2)
O2	1.0	0.0	0.5	0.631(1)



## Structural parameters

### Pt layer

	a (Å)	thickness (nm)	R factors (%)
non-treated substrate			
Pt	3.9108(1)	45.7(3)	$R_w=13, R_B=12, R_{exp}=22$
annealed substrate			
Pt	3.9100(4)	46.4(3)	$R_w=8, R_B=14, R_{exp}=21$
Pt (Recryst. 1h)	3.9114(2)	47.8(3)	$R_w=9, R_B=20, R_{exp}=21$
Pt (Recryst. 2h)	3.9068(1)	46.9(3)	$R_w=9, R_B=14, R_{exp}=22$
Pt (Recryst. 3h)	3.9141(4)	47.5(9)	$R_w=27, R_B=12, R_{exp}=21$

Annealing of the substrate does not introduce significant variations on the structure of the Pt layer

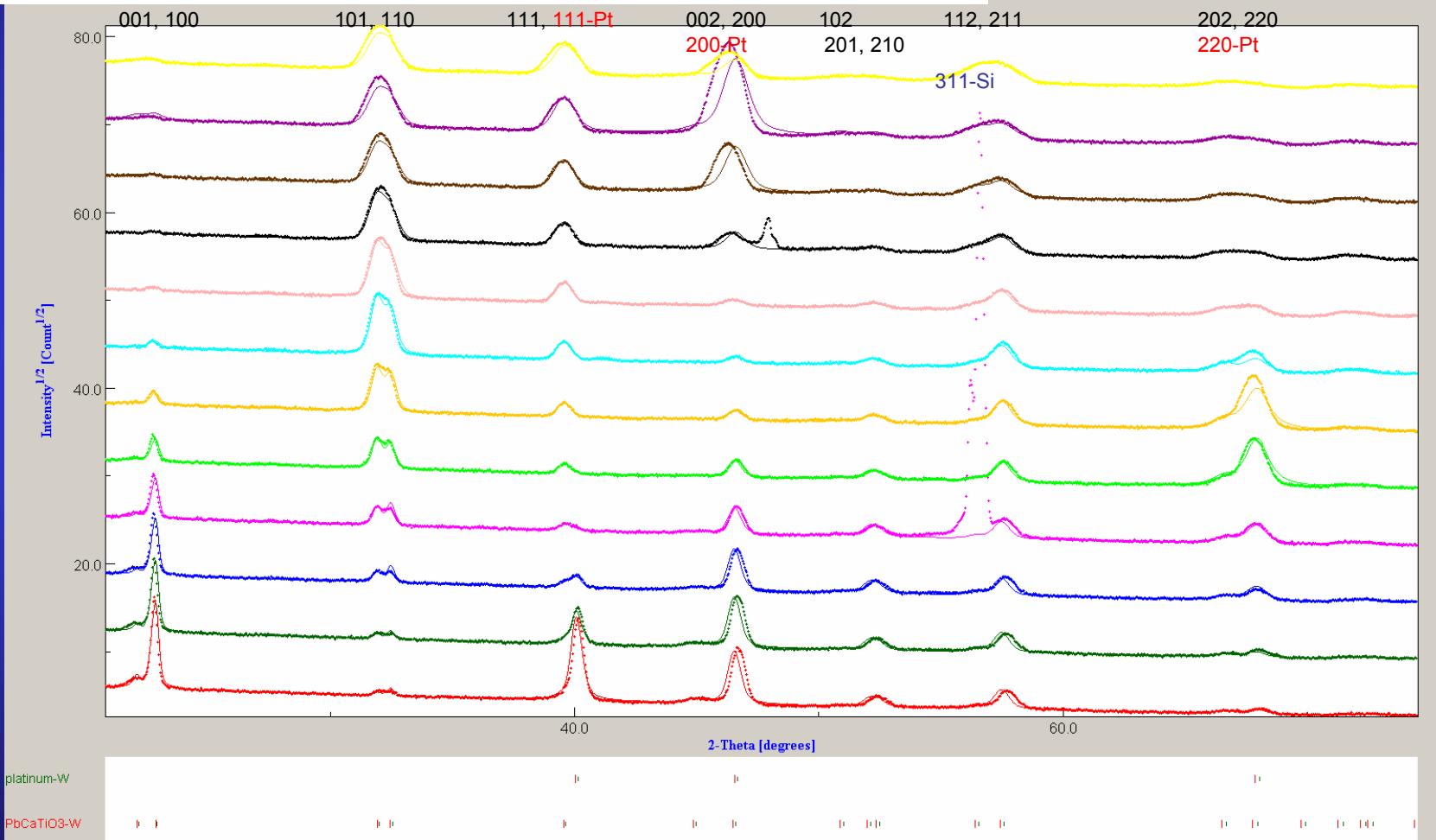
### PTC film

	a (Å)	c (Å)	thickness (nm)
on non-treated substrate			
PCT	3.9156(1)	4.0497(6)	272.5(13)
on annealed substrate			
PCT	3.8920(6)	4.0187(8)	279.0(9)
PCT (Recryst. 1h)	3.8929(2)	4.0230(4)	266.1(11)
PCT (Recryst. 2h)	3.8982(2)	4.0227(4)	258.4(9)
PCT (Recryst. 3h)	3.9001(4)	4.0228(11)	253.6(29)

Recrystallisation reduces the stress on the film, and, increases the lattice parameters

# Structural, microstructural and texture quantitative characterisation of ferroelectric thin films by the combined method

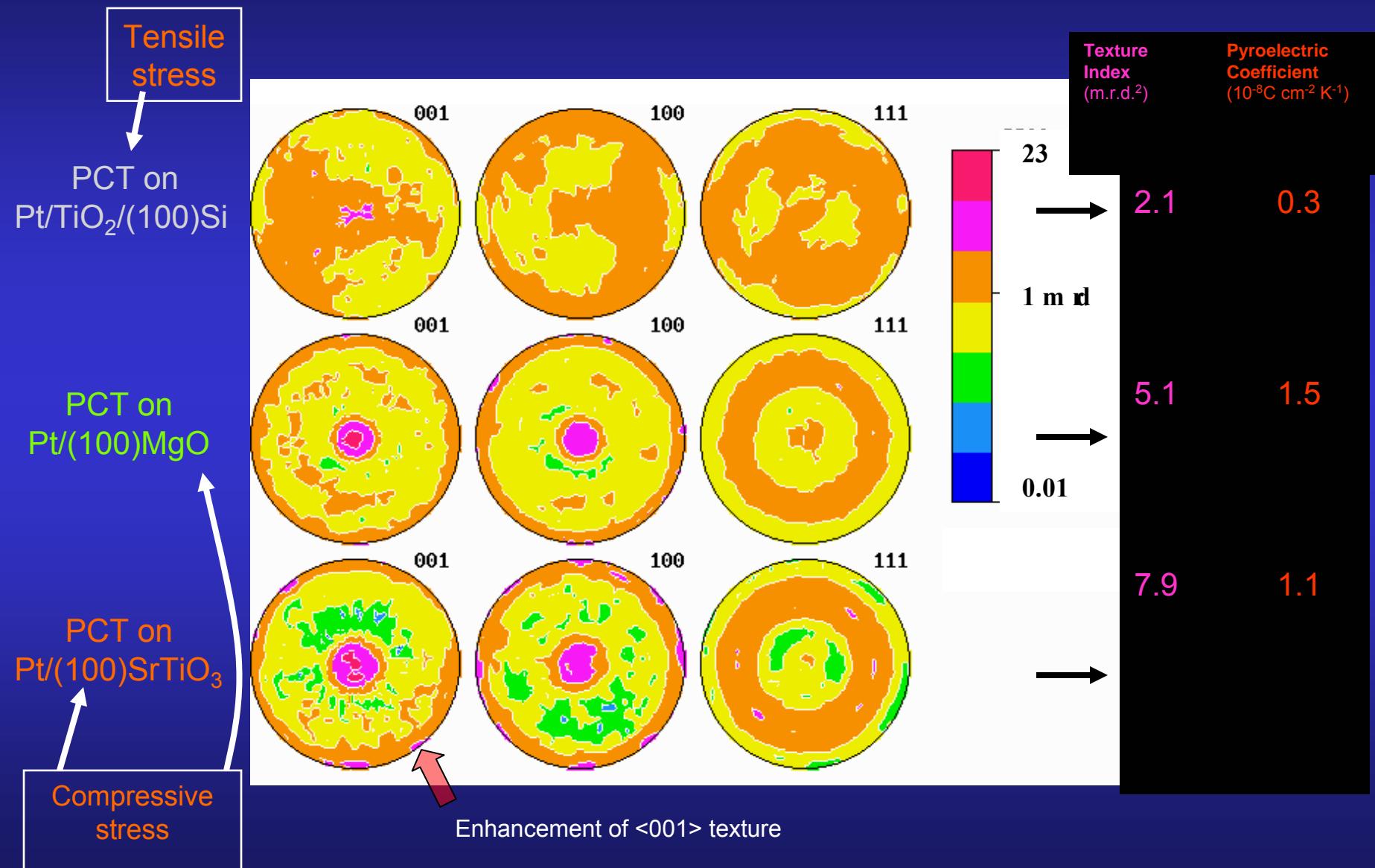
Analysis of the X-ray diffraction diagrams of a PCT film on Pt/TiO<sub>2</sub>/Si



$$R_W = 13\%; R_B = 12\%; R_{exp} = 22\%. \text{ (Rietveld)}$$

$$R_W = 5\%; R_B = 6\% \text{ (E-WIMV)}$$

# Substrate influence on Residual Stress and Texture

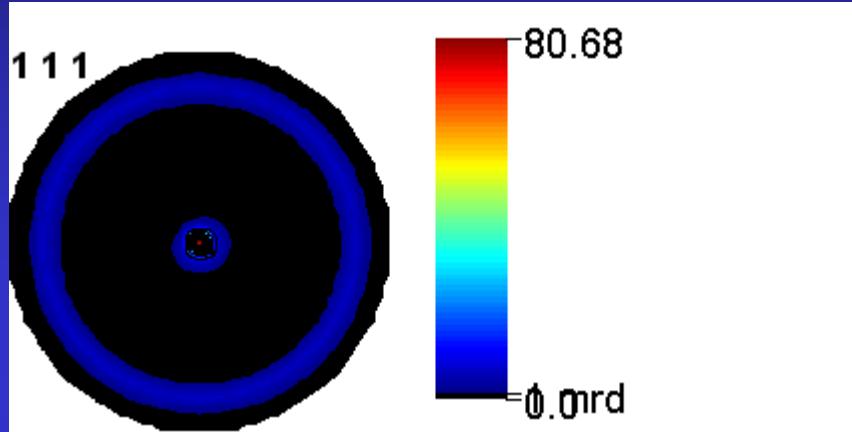


Compliance coefficients [10 <sup>-3</sup> GPa <sup>-1</sup> ]	PbTiO <sub>3</sub> single crystal (data set A)	Film random orientation	PCT-Si <001> contrib.≈17%	PLT <001> contrib.≈49%	PCT-Mg <001> contrib.≈68%
s <sub>11</sub>	6.5	10.1	10.5	10.0	9.7
s <sub>22</sub>	6.5	10.0	10.5	10.0	9.7
s <sub>33</sub>	33.3	9.8	9.0	10.3	11.3
s <sub>44</sub>	14.5	13.2	12.8	12.9	13.1
s <sub>55</sub>	14.5	13.2	12.8	13.0	13.1
s <sub>66</sub>	9.6	13.4	14.0	13.5	12.7
s <sub>12</sub>	-0.35	-3.3	-3.5	-3.2	-3.0
s <sub>21</sub>	-0.35	-3.3	-3.5	-3.2	-3.0
s <sub>13</sub>	-7.1	-3.2	-3.1	-3.4	-3.6
s <sub>31</sub>	-7.1	-3.2	-3.1	-3.4	-3.6
s <sub>23</sub>	-7.1	-3.2	-3.1	-3.4	-3.6
s <sub>32</sub>	-7.1	-3.2	-3.1	-3.4	-3.6
s <sub>33</sub> /s <sub>11</sub>	5.1	0.97	0.86	1.03	1.16
s <sub>13</sub> /s <sub>12</sub>	20.3	0.97	0.89	1.06	1.20

Geometric mean average + biaxial stress state

# Ferroelectric PMN-PT films

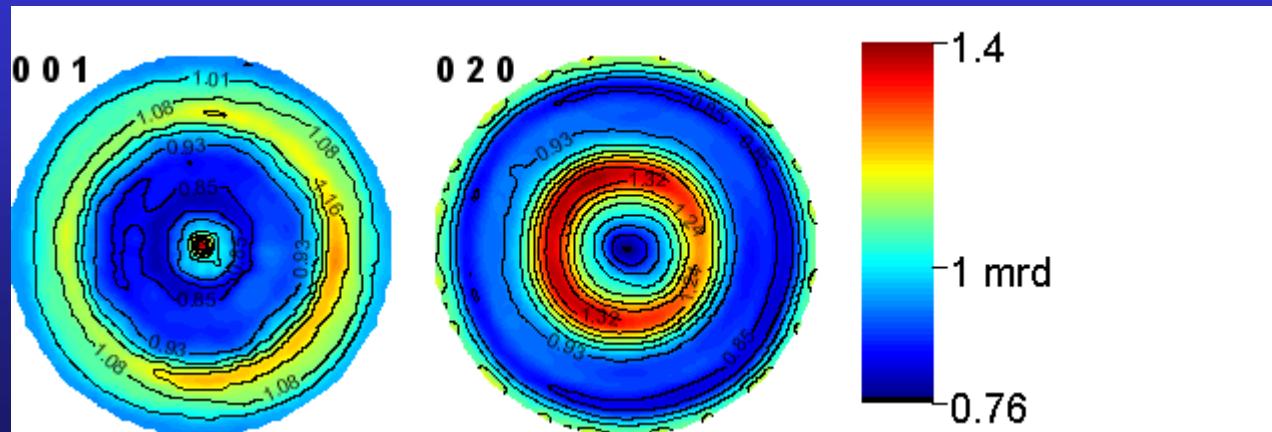
J. Ricote, DMF-Madrid



Pt

$a = 3.91172(1)$  Å  
 $T = 583(5)$  Å  
 $t_{iso} = 960(1)$  Å  
 $\varepsilon = 0.0032(1)$  rms  
 $\sigma_{11} = 0.639(1)$  GPa  
 $\sigma_{22} = 0.651(1)$  GPa  
 $\sigma_{12} = -0.009(1)$  GPa

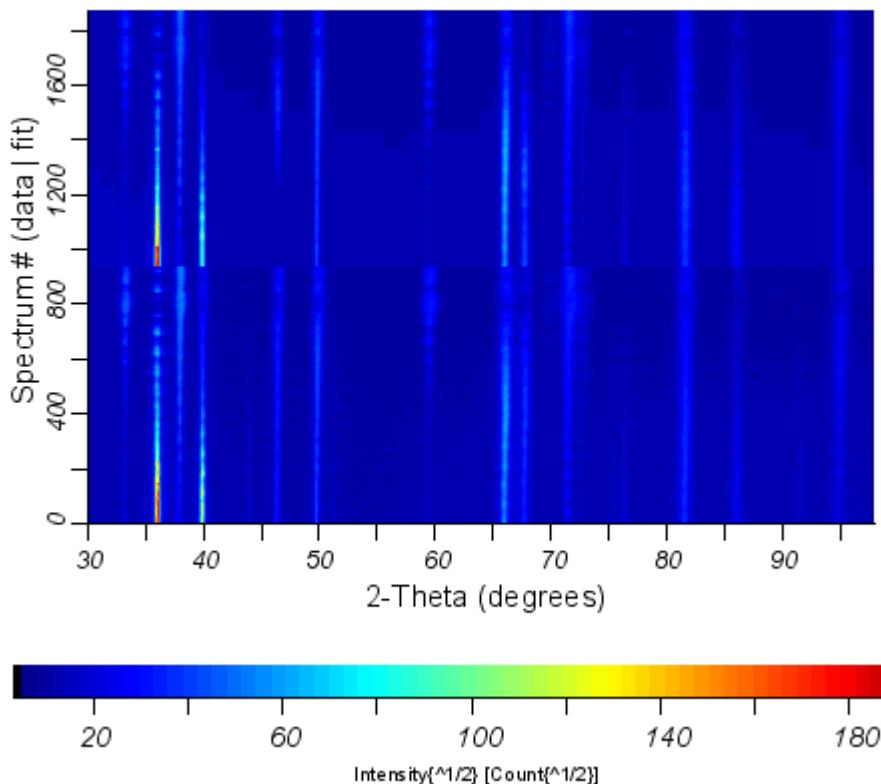
$\text{Pb}_{0.7}(\text{Mg}_{1/3}\text{Nb}_{2/3})\text{O}_3-\text{Pb}_{0.3}\text{TiO}_3/\text{TiO}_2/\text{Pt/Si-(100)}$



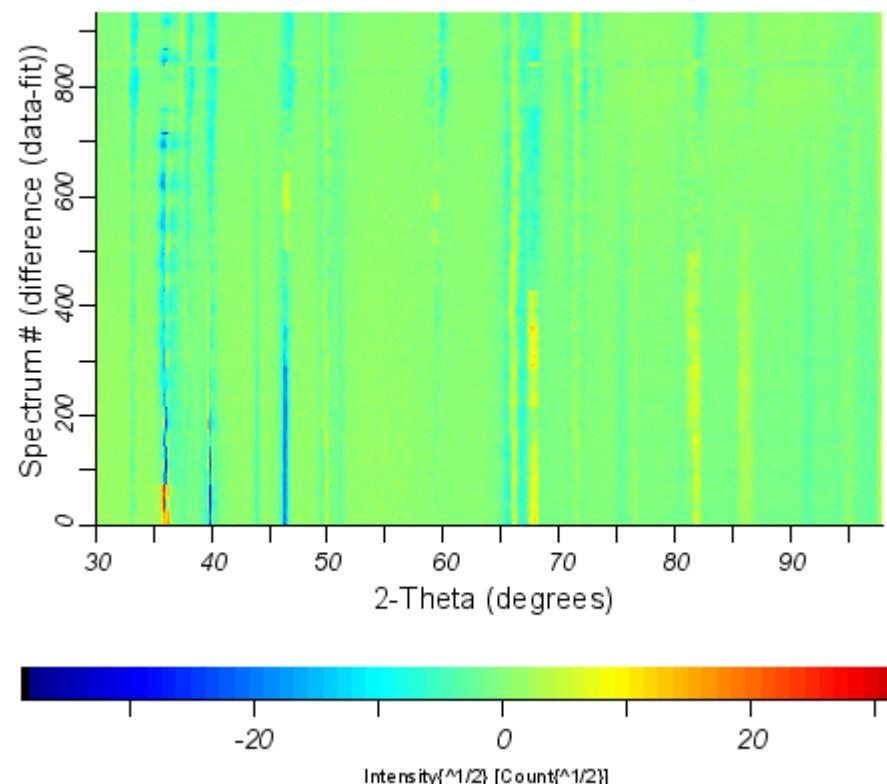
$a = 5.67858(9)$  Å  
 $b = 5.69038(9)$  Å  
 $c = 3.99558(4)$  Å  
 $\beta = 90.392(1)$  Å  
 $T = 1322(9)$  Å  
 $t_{iso} = 1338(2)$  Å  
 $\varepsilon = 0.0067(1)$  rms

# *A<sub>x</sub>IN/Pt/TiO<sub>x</sub>/Al<sub>2</sub>O<sub>3</sub>/Ni-Co-Cr-Al*

2D Multiplot for Data 05\_37P64  
measured data and fit

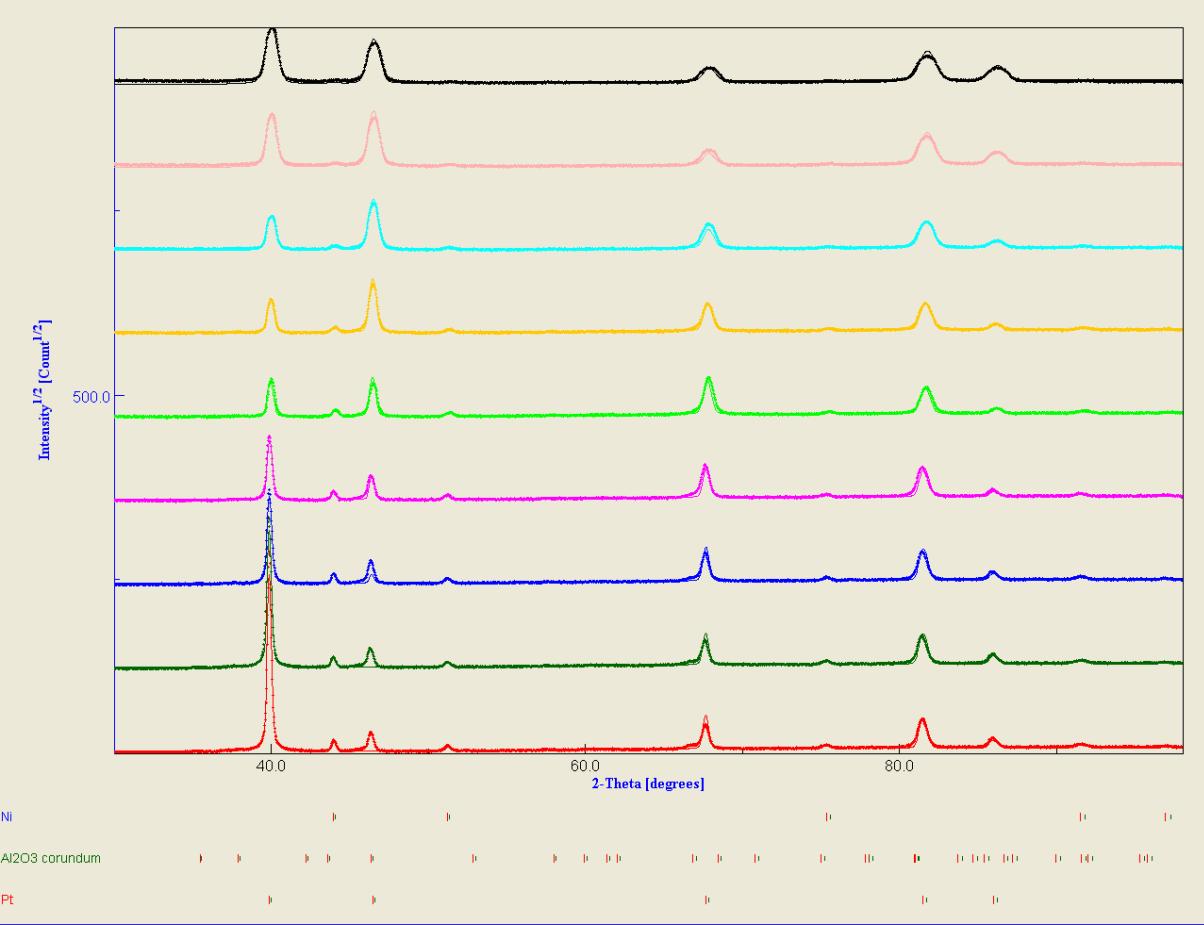


2D difference plot for Data 05\_37P64  
difference data - fit



R<sub>w</sub> (%) = 24.120445  
R<sub>exp</sub> (%) = 5.8517213

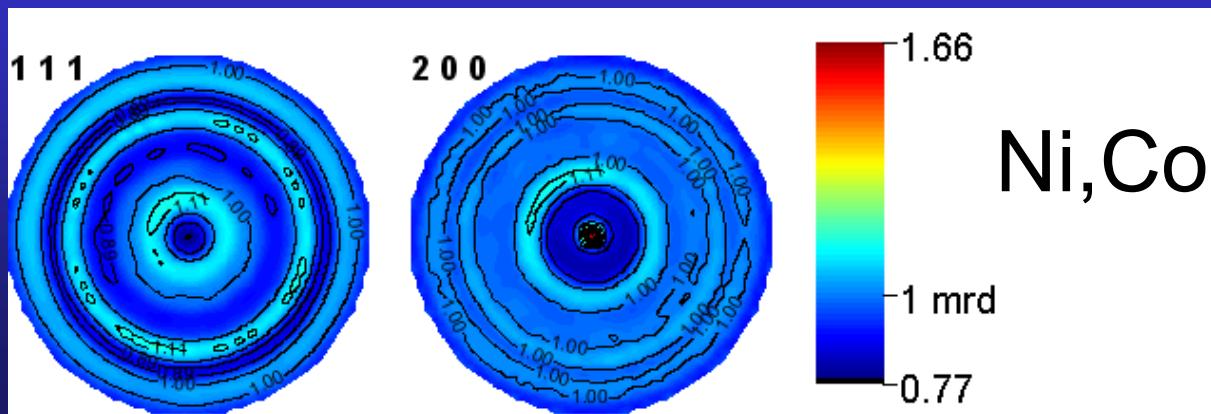
T(AIN) = 14270(3) nm  
T(Pt) = 430(3) nm



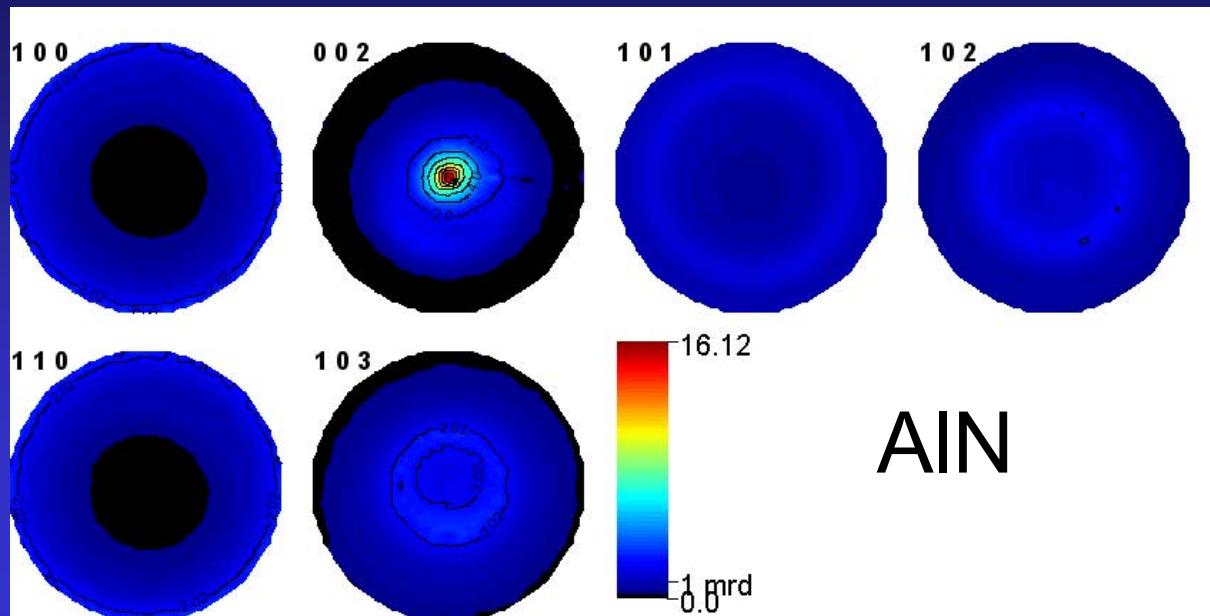
$(\chi, \varphi)$  randomly selected diagrams



$a = 4.7562(6) \text{ \AA}$   
 $c = 12.875(3) \text{ \AA}$   
 $T = 7790(31) \text{ nm}$   
 $\langle t \rangle = 150(2) \text{ \AA}$   
 $\langle \varepsilon \rangle = 0.008(3)$



$a = 3.569377(5) \text{ \AA}$   
 $\langle t \rangle = 7600(1900) \text{ \AA}$   
 $\langle \varepsilon \rangle = 0.00236(3)$   
 $\sigma_{11} = -328(8) \text{ MPa}$   
 $\sigma_{22} = -411(9) \text{ MPa}$



$$R_w (\%) = 4.1$$

$$a = 3.11203(1) \text{ \AA}$$

$$c = 4.98252(1) \text{ \AA}$$

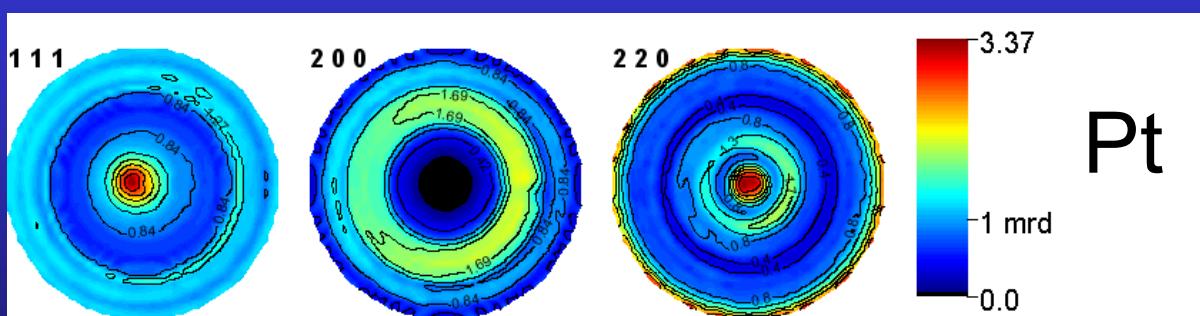
$$T = 14270(3) \text{ nm}$$

$$\langle t \rangle = 2404(8) \text{ \AA}$$

$$\langle \varepsilon \rangle = 0.001853(2)$$

$$\sigma_{11} = -1019(2) \text{ MPa}$$

$$\sigma_{22} = -845(2) \text{ MPa}$$



$$R_w (\%) = 33.3$$

$$a = 3.91198(1) \text{ \AA}$$

$$T = 1204(3) \text{ nm}$$

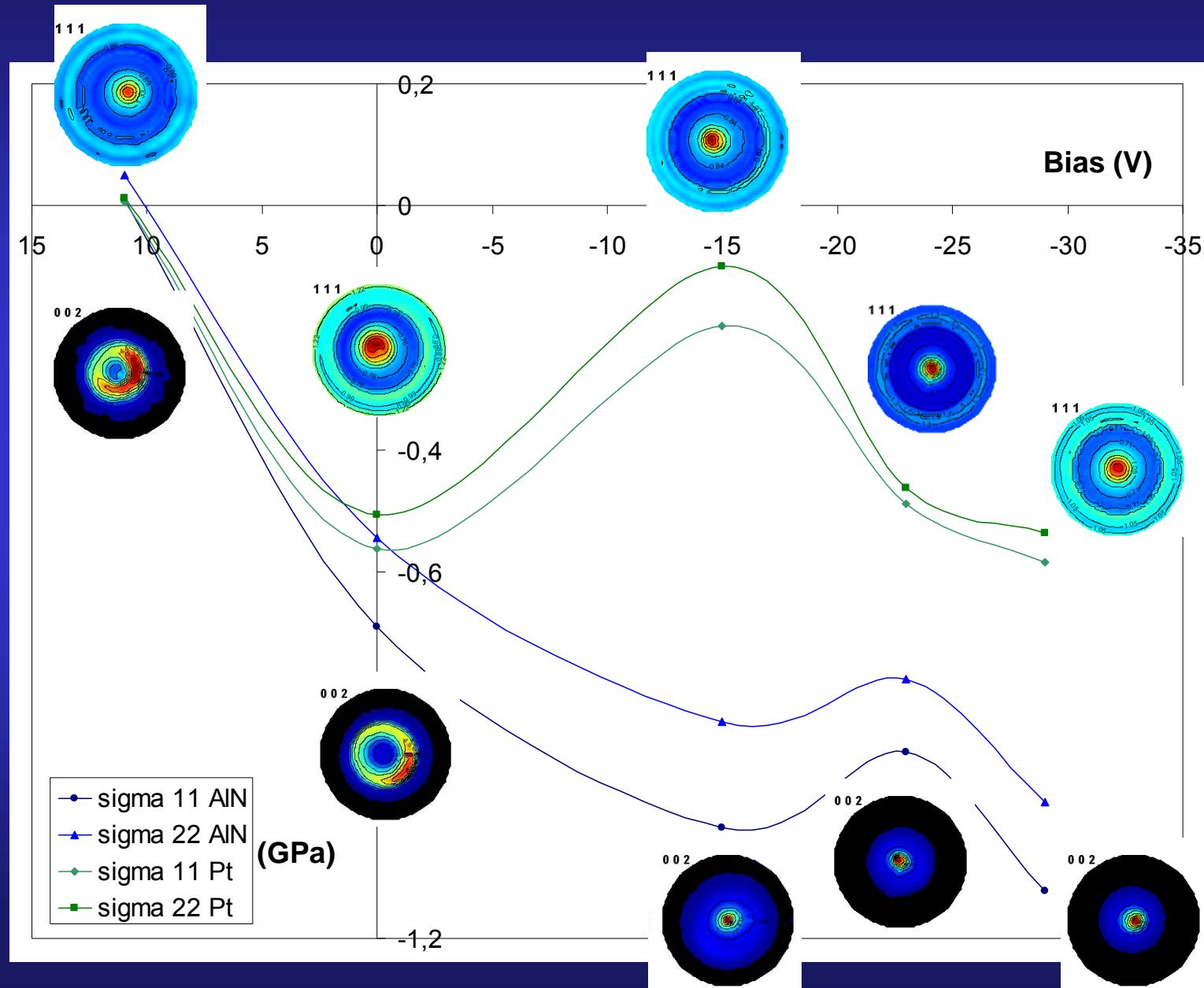
$$\langle t \rangle = 2173(10) \text{ \AA}$$

$$\langle \varepsilon \rangle = 0.002410(3)$$

$$\sigma_{11} = -196.5(8)$$

$$\sigma_{22} = -99.6(6)$$

# Substrate bias vs stress-texture evolution



# *Si nanocrystalline thin films*

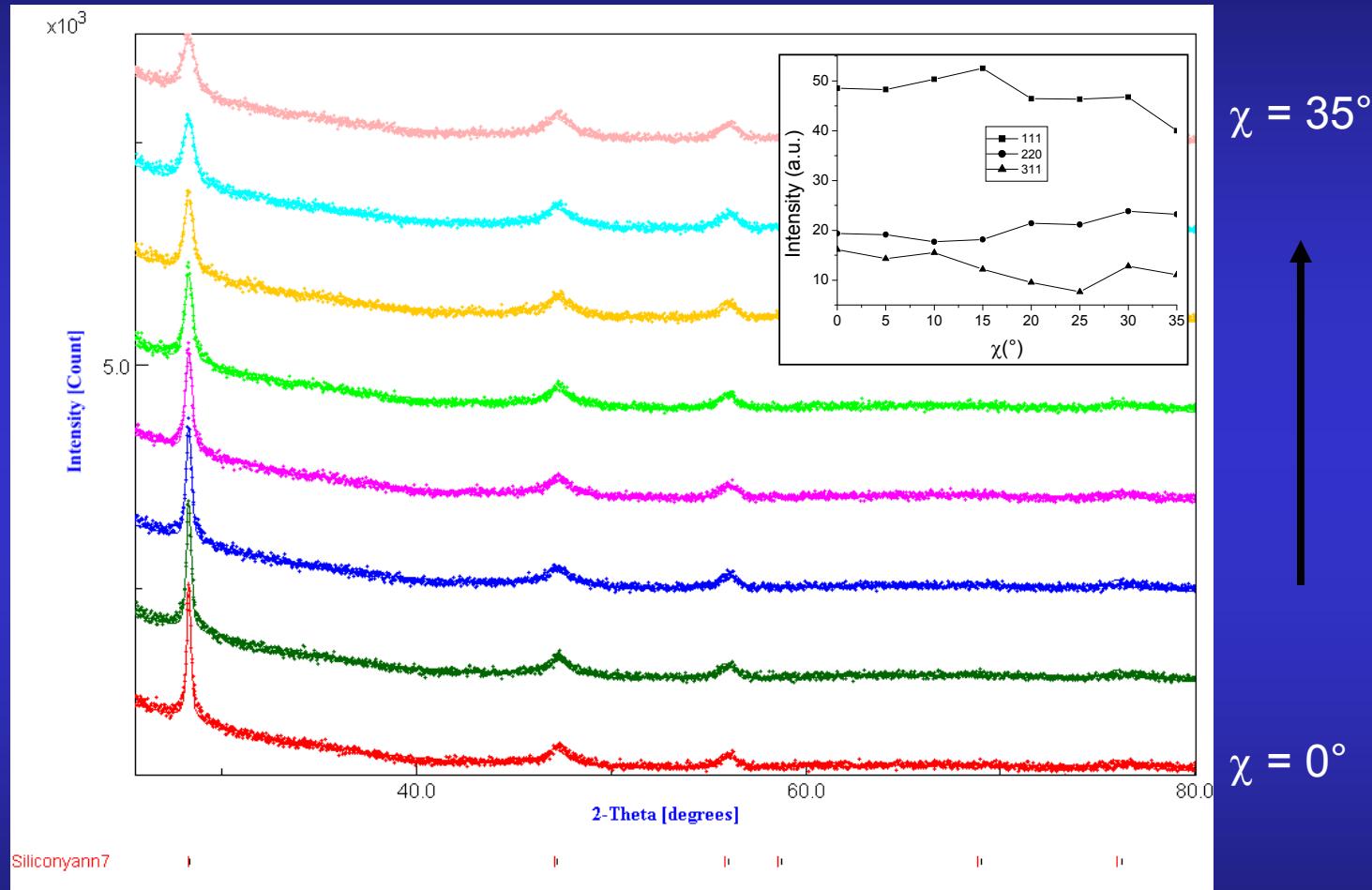
M. Morales, Caen

## **Silicon thin films deposition by reactive magnetron sputtering:**

- ↳ power density 2W/cm<sup>2</sup>
- ↳ total pressure:  $p_{\text{total}} = 10^{-1}$  Torr
- ↳ plasma mixture: H<sub>2</sub> / Ar, pH<sub>2</sub> / p<sub>total</sub> = 80 %
- ↳ temperature: 200°C
- ↳ substrates: amorphous SiO<sub>2</sub> (a-SiO<sub>2</sub>)  
(100)-Si single-crystals
- ↳ target-substrate distance (d)
  - a-SiO<sub>2</sub> substrates: d = 4, 6, 7, 8, 10, 12 cm  
films A, B, C, D, E, F
  - (100)-Si: d = 6, 12 cm  
films G, H

Aim: quantum confinement, photoluminescence properties

# Typical refinement

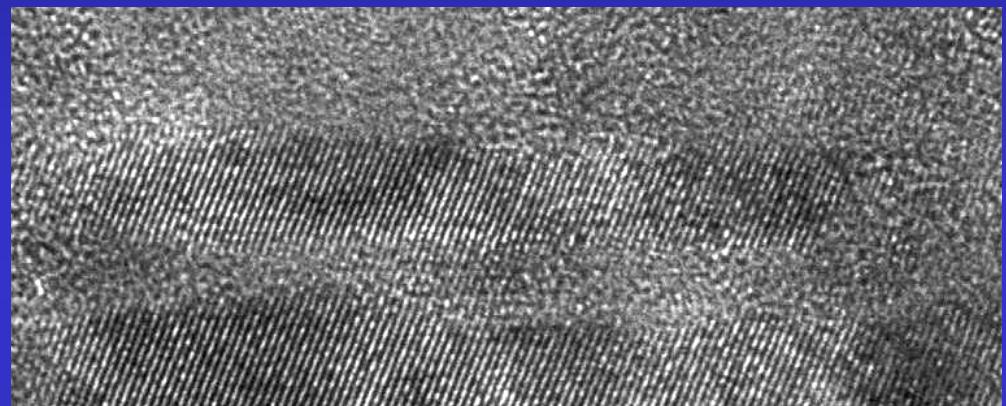
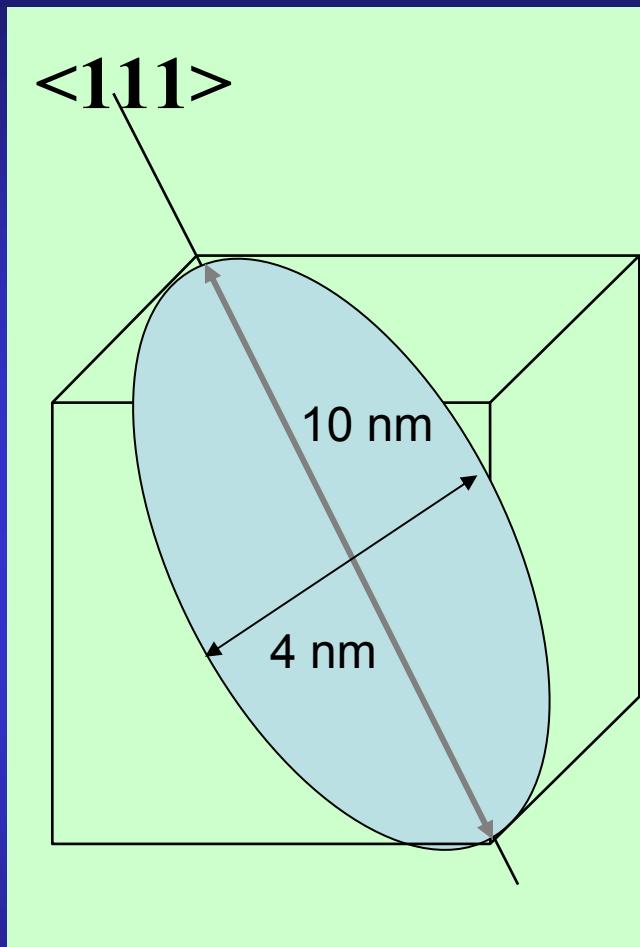


broad, anisotropic diffracted lines, textured samples

# Refinement Results

Sample	d (cm)	a (Å)	RX thickness (nm)	Anisotropic sizes (Å)			Texture parameters			Reliability factors (%)			
				<111>	<220>	<311>	Maximum (m.r.d.)	minimum (m.r.d.)	Texture index F <sup>2</sup> (m.r.d <sup>2</sup> )	RP <sub>0</sub>	R <sub>w</sub>	R <sub>B</sub>	R <sub>exp</sub>
A	4	5.4466 (3)	—	94	20	27	1.95	0.4	1.12	1.72	4.0	3.7	3.5
B	6	5.4439 (2)	711 (50)	101	20	22	1.39	0.79	1.01	0.71	4.9	4.3	4.2
C	7	5.4346 (4)	519 (60)	99	40	52	1.72	0.66	1.05	0.78	4.3	4.0	3.9
D	8	5.4461 (2)	1447 (66)	100	22	33	1.57	0.63	1.04	0.90	5.5	4.6	4.5
E	10	5.4462 (2)	1360 (80)	98	20	25	1.22	0.82	1.01	0.56	5.0	3.9	4.0
F	12	5.4452 (3)	1110 (57)	85	22	26	1.59	0.45	1.05	1.08	4.2	3.5	3.7
G	6	5.4387 (3)	1307 (50)	89	22	28	1.84	0.71	1.01	1.57	5.2	4.7	4.2
H	12	5.4434 (2)	1214 (18)	88	22	24	2.77	0.50	1.12	2.97	5.0	4.5	4.3

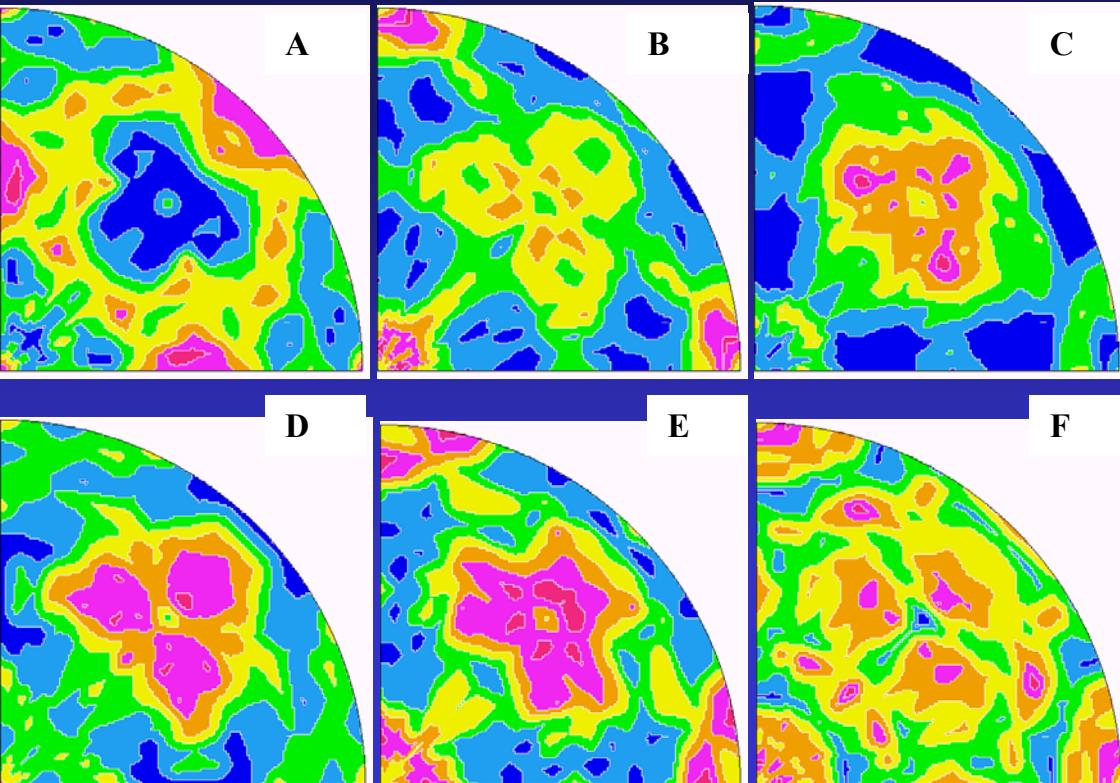
# Mean anisotropic shape



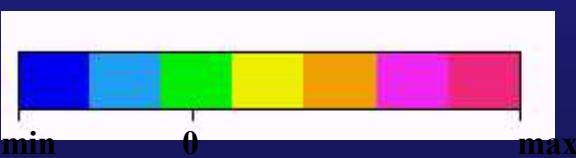
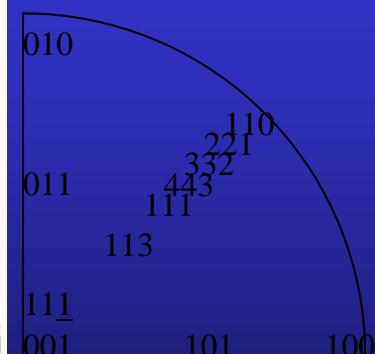
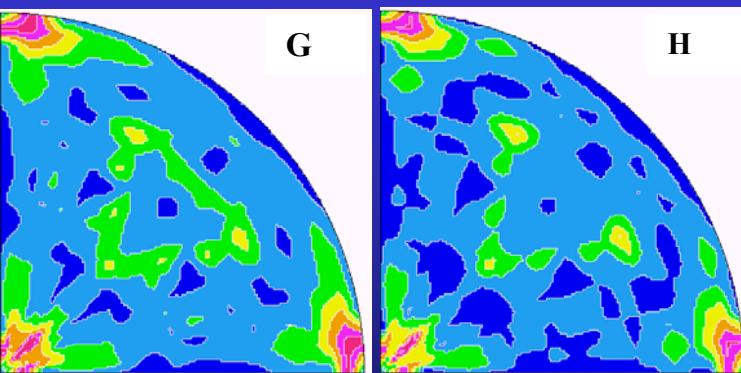
Schematic of the mean crystallite shape for Sample D represented in a cubic cell, as refined using the Popa approach and exhibiting a strong elongation along  $<111>$ , and TEM image

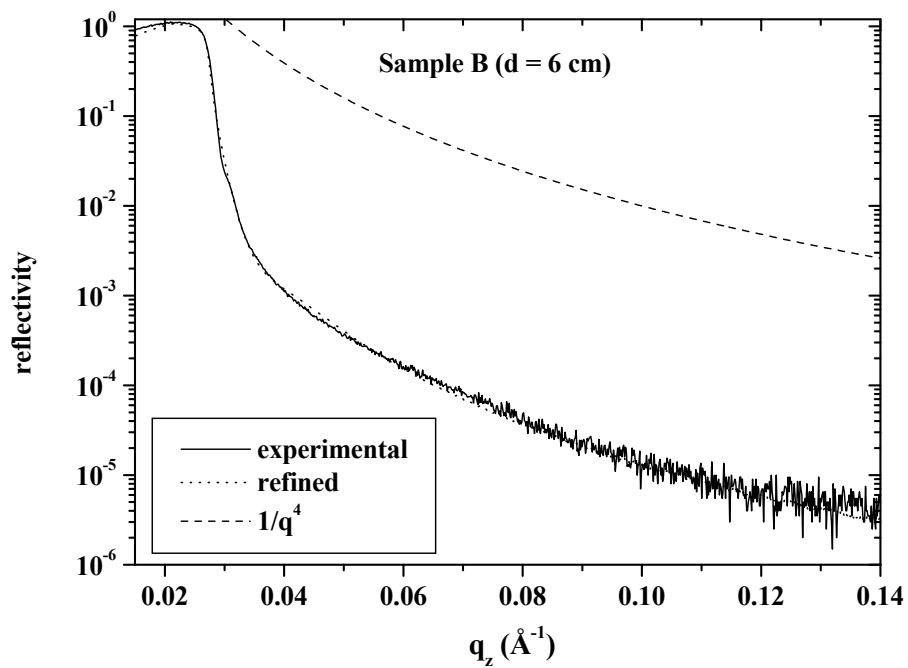
# 001 Inverse Pole Figures

a-SiO<sub>2</sub>



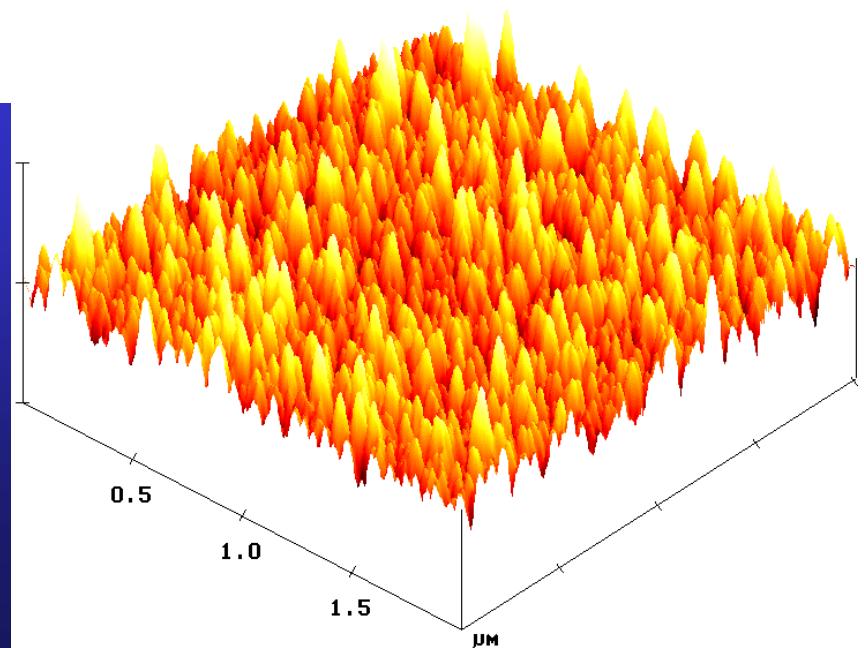
(100)-Si

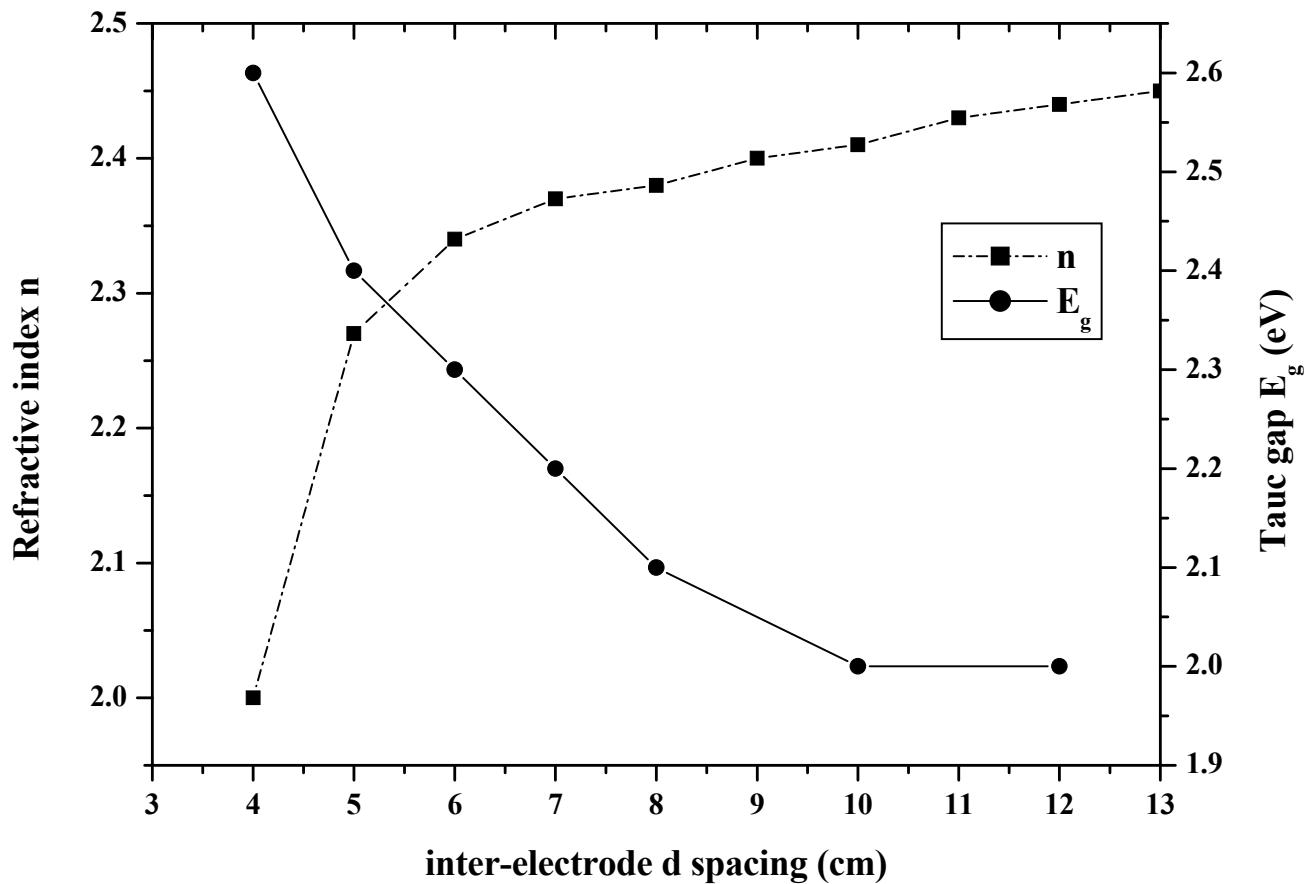




XRR:  
Roughness  
governed

AFM:  
homogeneous  
roughness





↳ Refractive index linked to film porosities:  
Larger target-sample distances: increased compacity due to lower nanopowder filling

# *Aragonitic layers in mollusc shells*

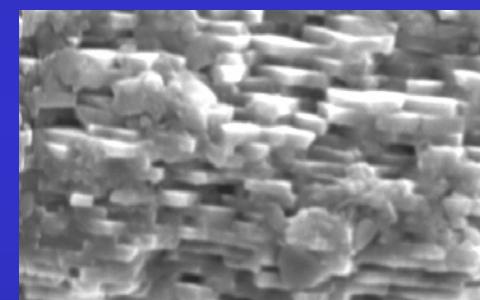
Gastropods

Crossed  
lamellar layers



*Charonia lampas lampas* (triton or trumpet cousin)

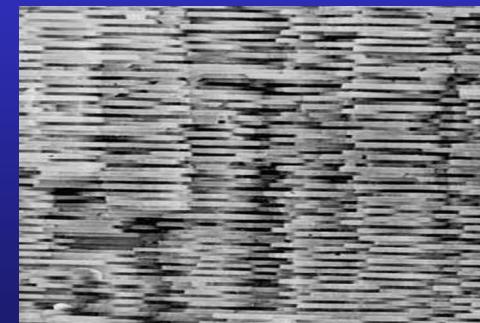
Columnar  
Nacre



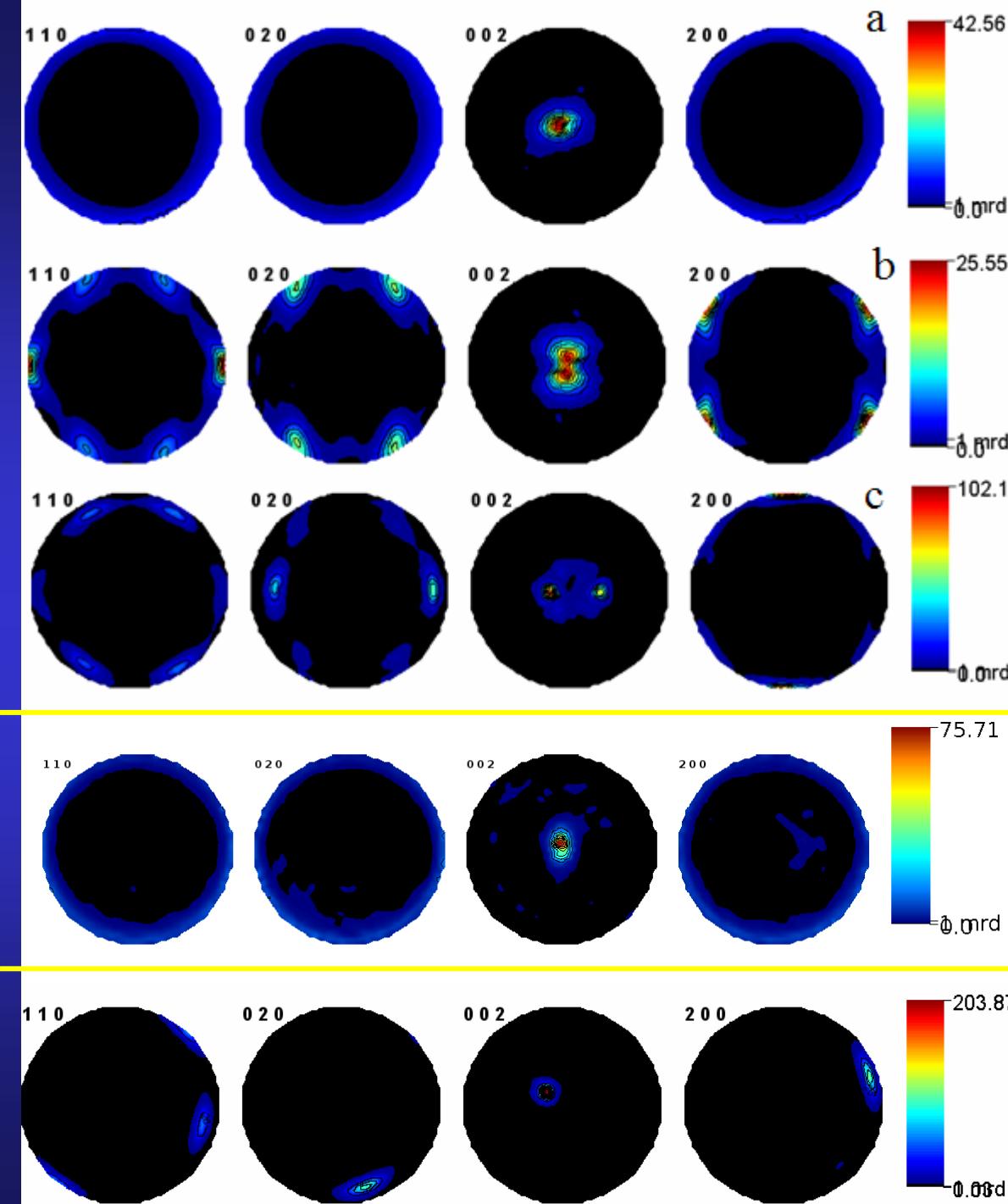
*Haliotis tuberculata* (common abalone)

Bivalves

Sheet Nacre



*Pinctada maxima* (Mother of pearl oyster)



Outer CL  
43 mrd<sup>2</sup>

Interm Radial CL  
47 mrd<sup>2</sup>

Inner Com CL  
721 mrd<sup>2</sup>

Inner Columnar Nacre  
211 mrd<sup>2</sup>

Inner Sheet Nacre  
1100 mrd<sup>2</sup>

# Unit-cell distortions

	OCL	<i>Charonia</i> IRCL	ICCL	<i>Pinctada</i> ISN	<i>Haliotis</i> ICN
a (Å)	4,98563(7)	4,97538(4)	4,9813(1)	4,97071(4)	4.9480(2)
b (Å)	8,0103(1)	7,98848(8)	7,9679(1)	7,96629(6)	7.9427(6)
c (Å)	5,74626(3)	5,74961(2)	5,76261(5)	5,74804(2)	5.7443(6)
$\Delta a/a$	0,0047	0,0026	0,0038	0.0017	-0.0029
$\Delta b/b$	0,0053	0,0026	0,0000	-0.0002	-0.0032
$\Delta c/c$	0,0004	0,0010	0,0033	0.0007	0.0007
$\Delta V/V$ (%)	1,05	0,62	0,71	0.22	-0.60

Anisotropic cell distortion - depends on the layer

Only nacres exhibit (**a,b**) contraction

Due to inter- and intra-crystalline molecules

Distortions and anisotropies larger than pure intra- effect (Pokroy et al. 2007)

# *Elastic stiffnesses*

<b>Single crystal</b>	160	37.3	1.7			
		87.2	15.7			
			84.8			
				41.2		
					25.6	
ICCL						42.7
	96.5	31.6	13.7			
		139	9.5			
			87.8			
				29.8		
RCL					36.6	
	130.1	32.6	10.3			
		103.3	14.1			
			84.5			
				36.3		
OCL					31.1	
	111.1	32.9	13.2			
		119	11.8			
			84.8			
				32.8		
					34.6	
						40.9

# *Structural distortions in aragonitic biogenic ceramic composites*

Aplanarity of carbonate groups in  
 $\text{CaCO}_3$

$$\Delta Z_{\text{C-O1}} = c(z_{\text{C}} - z_{\text{O1}})$$

*Calcite*

0 Å

*Biogenic  
aragonite*

*Intermediate ?*

*Mineral  
aragonite*

0.05744 Å

# Atomic Structures

		Geological reference	<i>Charonia lampas</i> OCL	<i>Charonia lampas</i> IRCL	<i>Charonia lampas</i> ICCL	<i>Strombus decorus</i> mixture	<i>Pinctada maxima</i> ISN
Ca	y	0.41500	0.41418(5)	0.414071(4)	0.41276(9)	0.4135(7)	0.41479 (3)
	z	0.75970	0.75939(3)	0.76057(2)	0.75818(8)	0.7601(8)	0.75939 (2)
C	y	0.76220	0.7628(2)	0.76341(2)	0.7356(4)	0.7607(4)	0.7676 (1)
	z	-0.08620	-0.0920(1)	-0.08702(9)	-0.0833(2)	-0.0851(7)	-0.0831 (1)
O1	y	0.92250	0.9115(2)	0.9238(1)	0.8957(3)	0.9228(4)	0.9134 (1)
	z	-0.09620	-0.09205(8)	-0.09456(6)	-0.1018(2)	-0.0905(9)	-0.09255 (7)
O2	x	0.47360	0.4768(1)	0.4754(1)	0.4864(3)	0.4763(6)	0.4678 (1)
	y	0.68100	0.6826(1)	0.68332(9)	0.6834(2)	0.6833(3)	0.68176 (7)
	z	-0.08620	-0.08368(6)	-0.08473(5)	-0.0926(1)	-0.0863(7)	-0.09060 (4)
$\Delta Z_{C-O1}$ (Å)		<b>0.05744</b>	<b>0.00029</b>	<b>0.04335</b>	<b>0.1066</b>	<b>0.031</b>	<b>0,054</b>

Carbonate group aplanarity specific to a given layer

Aplanarity decreases from inner to outer shell layers (CL layers)

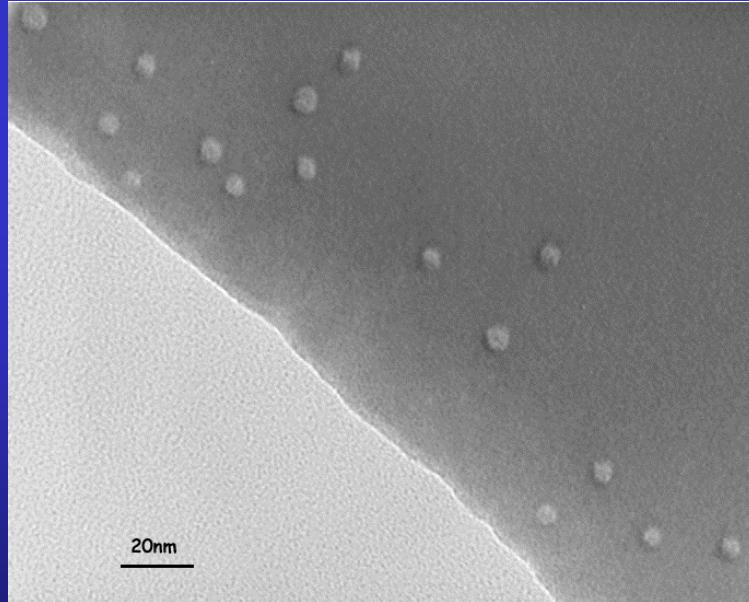
-> up to quite  $\Delta Z=0$  outside (nearly the calcite value)

Average aplanarity on the whole shell = geological reference (*Strombus*)

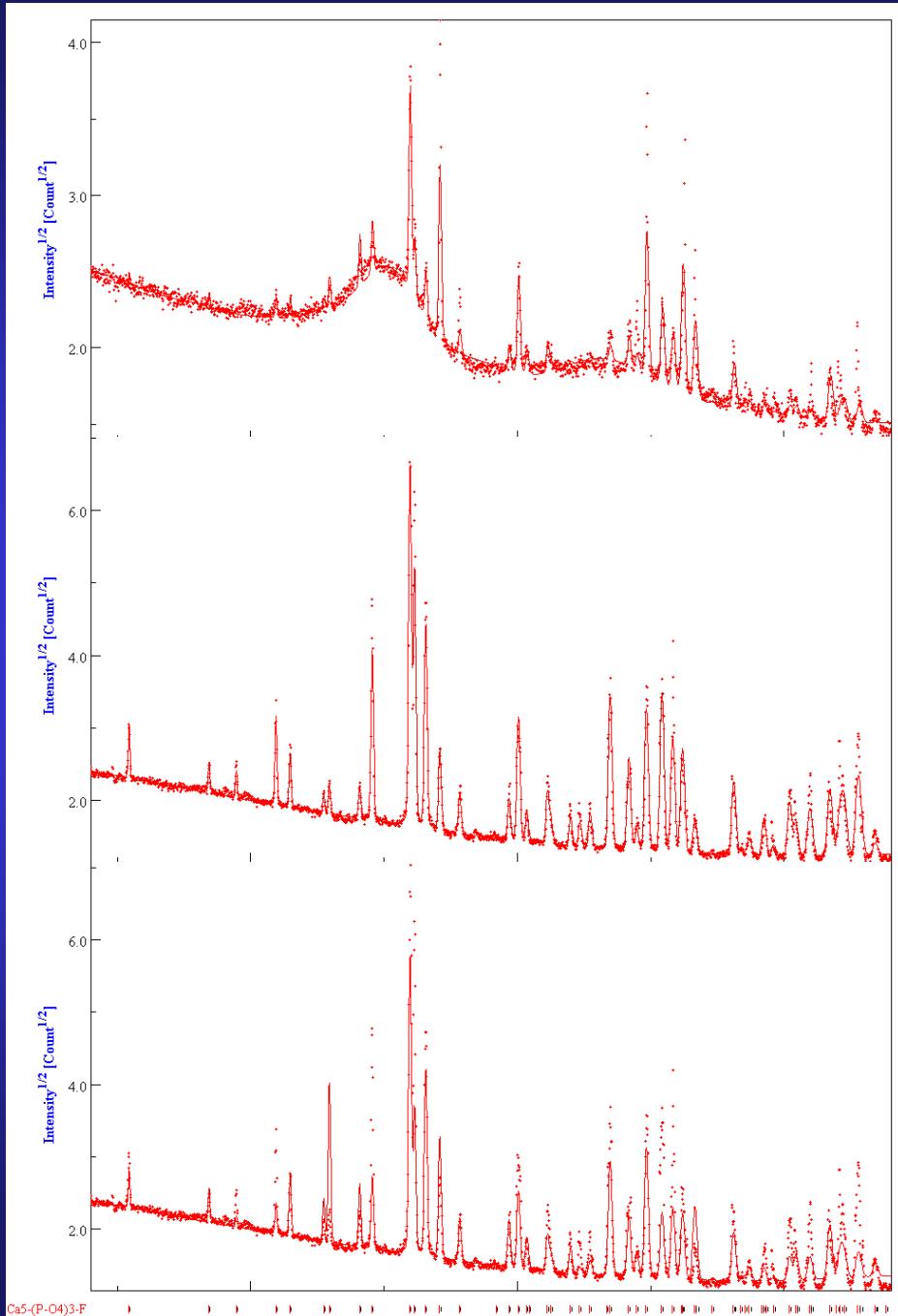
In *Haliotis* nacre: large  $\Delta Z=0.08$ , + strong anisotropy: less stable nacre

# *Irradiated FluorApatite (FAp) ceramics*

Self-recrystallisation under irradiation, depending on  $\text{SiO}_4 / \text{PO}_4$  ratio (FAp / Nd-Birtholite) and on irradiating species



TEM of FAp  
irradiated with 70  
MeV,  $10^{12} \text{ Kr cm}^{-2}$   
ions



texture corrected,  
 $10^{13} \text{ Kr cm}^{-2}$

Virgin, with texture  
correction

Virgin, no texture  
correction

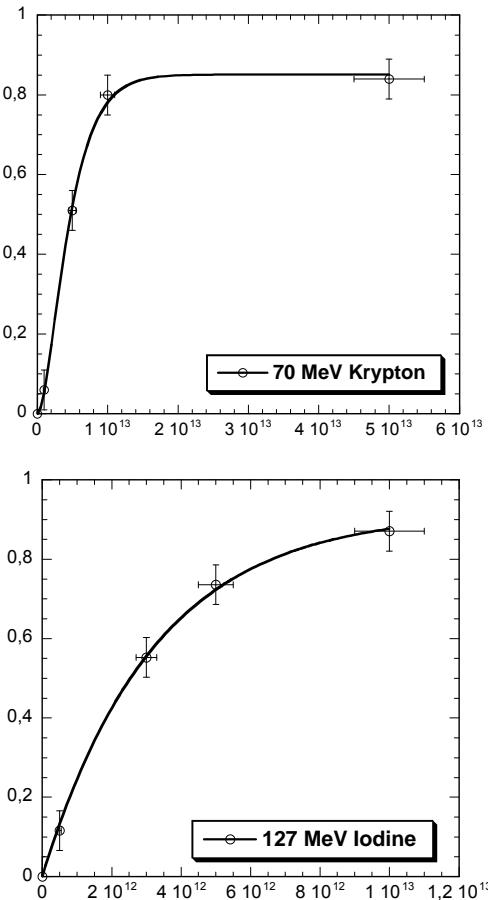
Fluence (ions.cm <sup>-2</sup> )	Vc/V (%)	A (Å)	c (Å)	$\langle t \rangle$ (nm)	$\Delta a/a_0$ (%)	$\Delta c/c_0$ (%)	R <sub>w</sub> (%)	R <sub>B</sub> (%)
0	100	9.3365(3)	6.8560(5)	294(22)	-	-	14.6	9.1
<b>Kr</b>								
$10^{11}$	100	-	-	-	-	-		
$10^{12}$	100	-	-	-	-	-		
$5.10^{12}$	49(1)	9.3775(9)	6.8912(8)	294(20)	0.44	0.53	24	15
$10^{13}$	20(1)	9.4236(5)	6.9105(5)	291(20)	0.94	0.82	9.9	6
$5.10^{13}$	14(1)	9.3160(4)	6.8402(5)	294(22)	-0.21	-0.22	10.5	5.9
<b>I</b>								
$10^{11}$	-	-	-	-	-	-		
$5.10^{11}$	86(2)	9.3603(3)	6.8790(5)	90(10)	0.26	0.35	23.9	15.1
$10^{12}$	-	-	-	-	-	-		
$3.10^{12}$	47(2)	9.3645(3)	6.8840(5)	91(6)	0.30	0.42	13.3	9
$5.10^{12}$	29.2(5)	9.3765(5)	6.8881(6)	77(11)	0.44	0.48	10.4	7.3
$10^{13}$	13.2(2)	9.3719(4)	6.8857(6)	82(9)	0.38	0.45	6.7	4.9

Single impact model associated to crystal size reduction

Cell parameters and volume increase, then relax

Amorphisation / recrystallisation competition: single or double impact

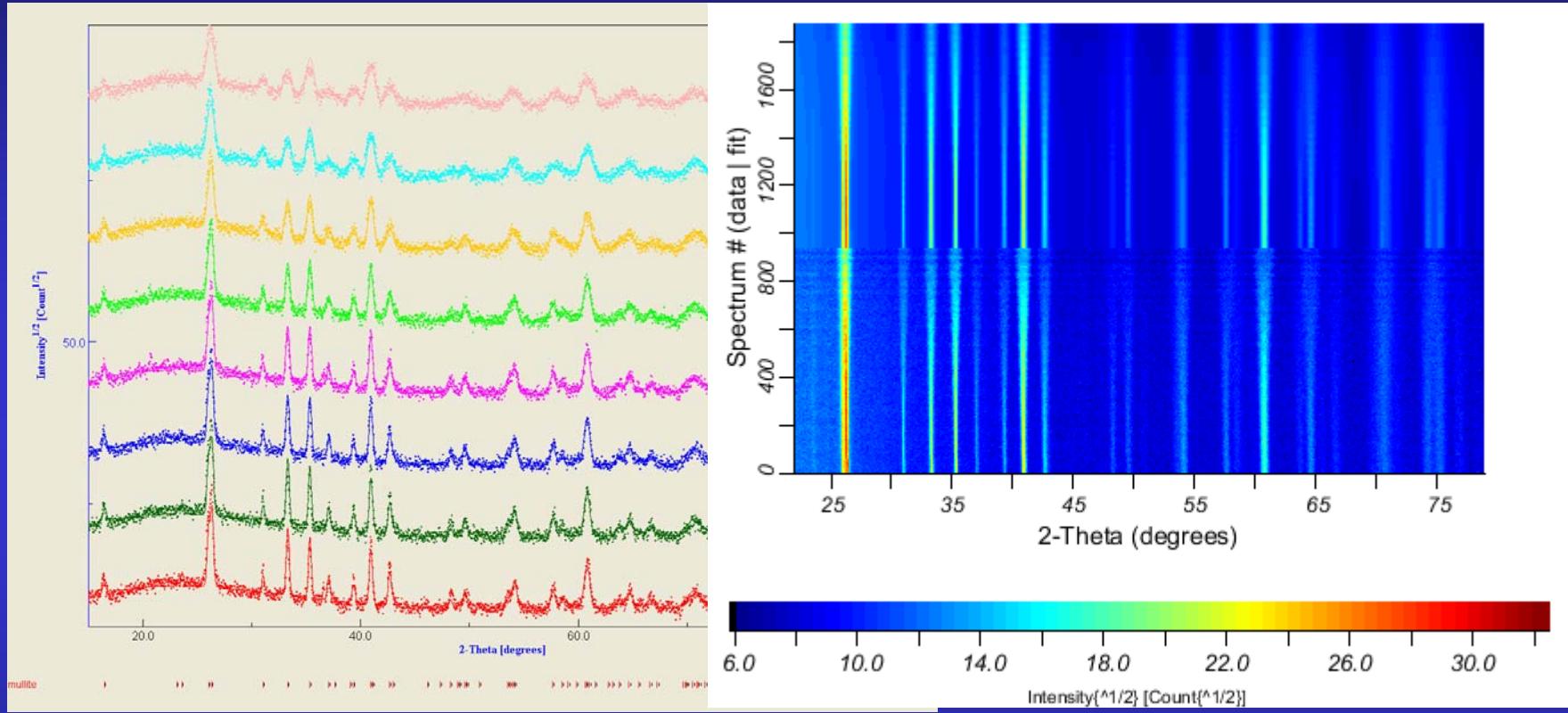
# Amorphous/crystalline volume fraction (damaged fraction $F_d = V_a / V$ ) as determined by x-ray diffraction



B

Fitting parameters	Krypton		Iodine
	Single impact $F_d = B(1 - \exp(-A\phi t))$	Double impact $F_d = B(1 - (1 + A\phi t) \exp(-A\phi t))$	Single impact $F_d = B(1 - \exp(-A\phi t))$
$A = \pi R^2 (\text{cm}^2)$	$1.85 \pm 0.15 \cdot 10^{-13}$	$4.1 \pm 0.15 \cdot 10^{-13}$	$3.3 \pm 0.15 \cdot 10^{-13}$
Radius R (nm)	$2.4 \pm 0.2$	3.6	3.2
B (Max.damage rate)	0.87	$0.85 \pm 0.2$	$0.92 \pm 0.2$
$\chi^2$	0.013	0.0006	0.0004

# *Mullite-silica composites*

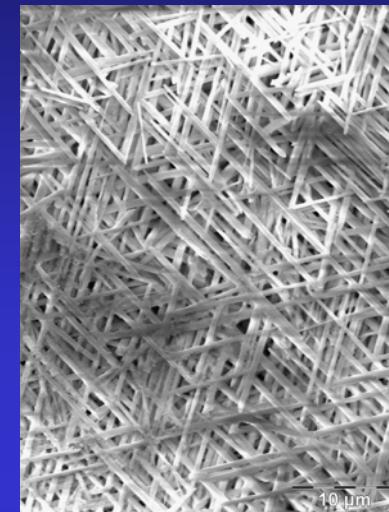
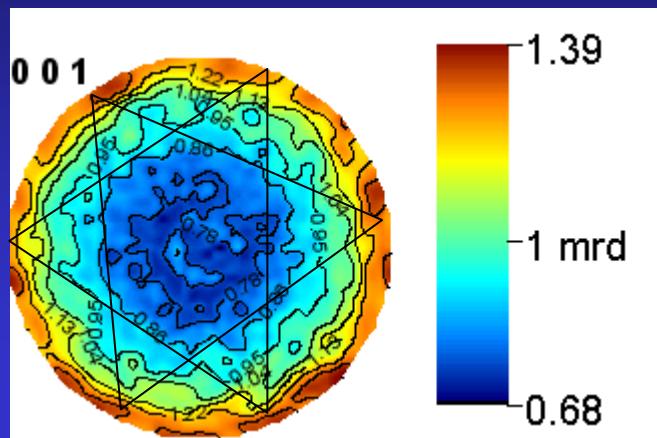


ODF:  $R_w = 4.87\%$ ,  $R_B = 4.01\%$

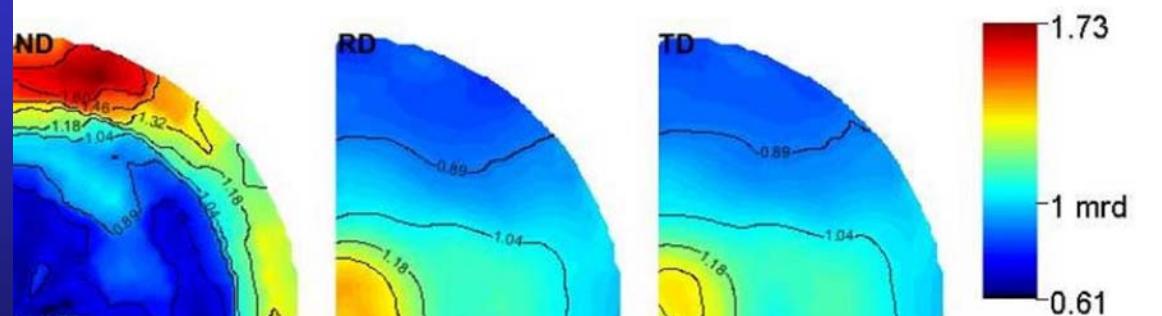
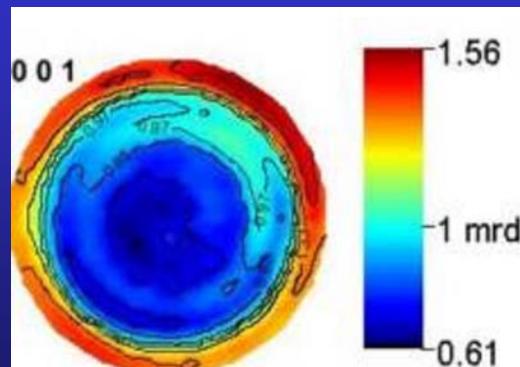
Rietveld:  $R_w = 12.90\%$ , GoF = 1.77

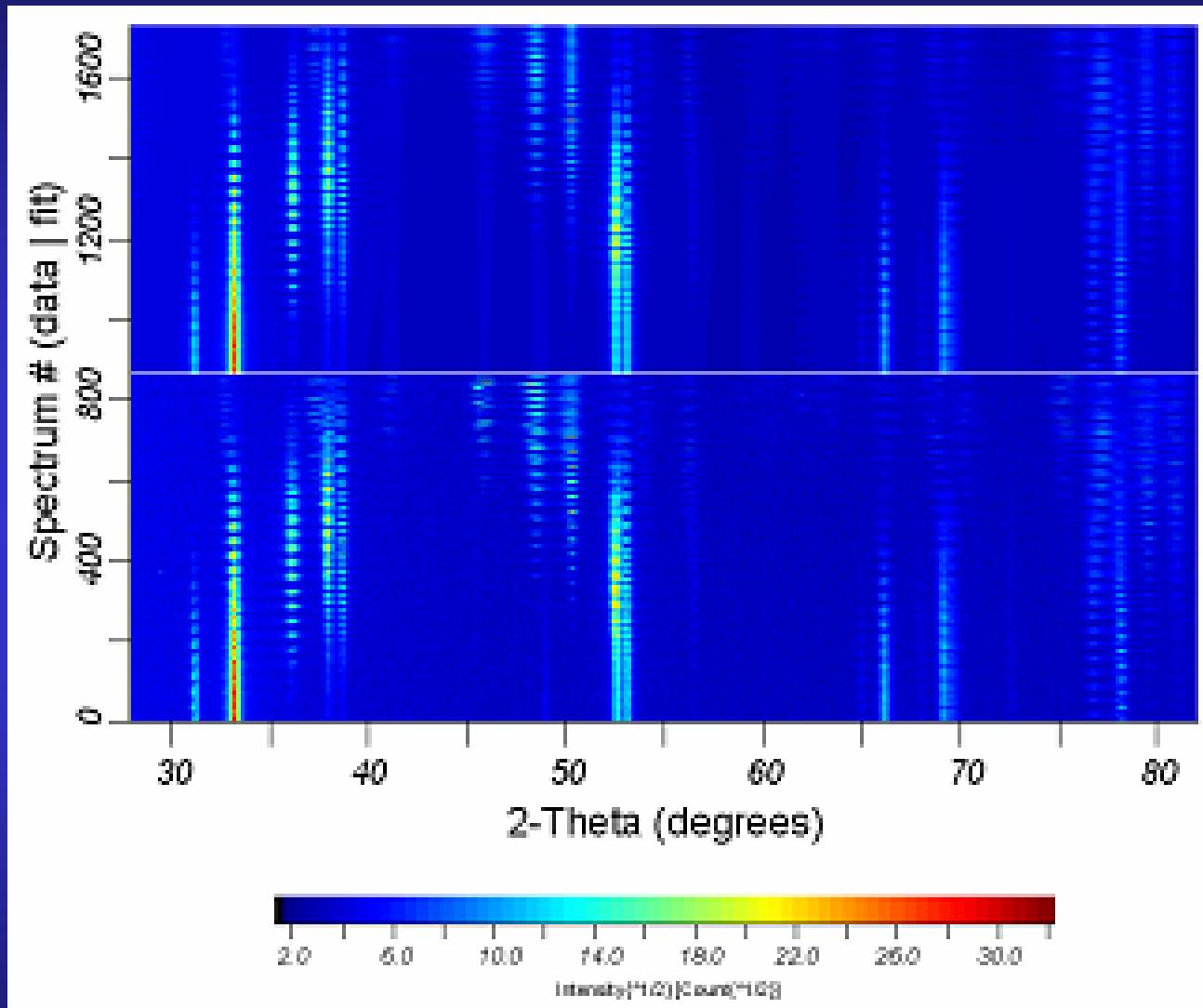
Mullite:  $a = 7.56486(5)\text{ \AA}$ ;  $b = 7.71048(5)\text{ \AA}$ ;  $c = 2.89059(1)\text{\AA}$

## Uniaxially pressed



## Centrifugated





refined

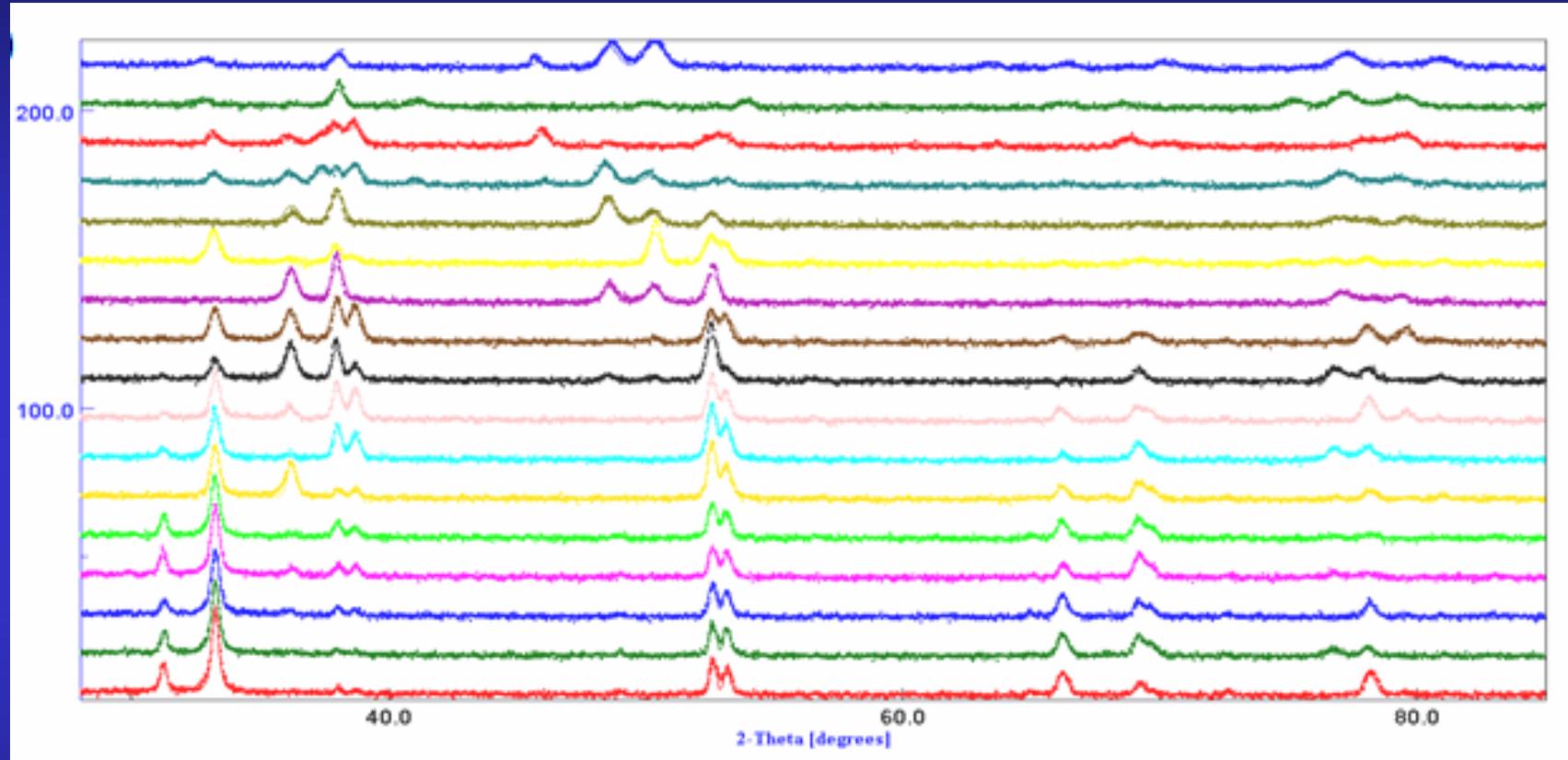
experiments

GoF: 1,72

Rw: 28,0%

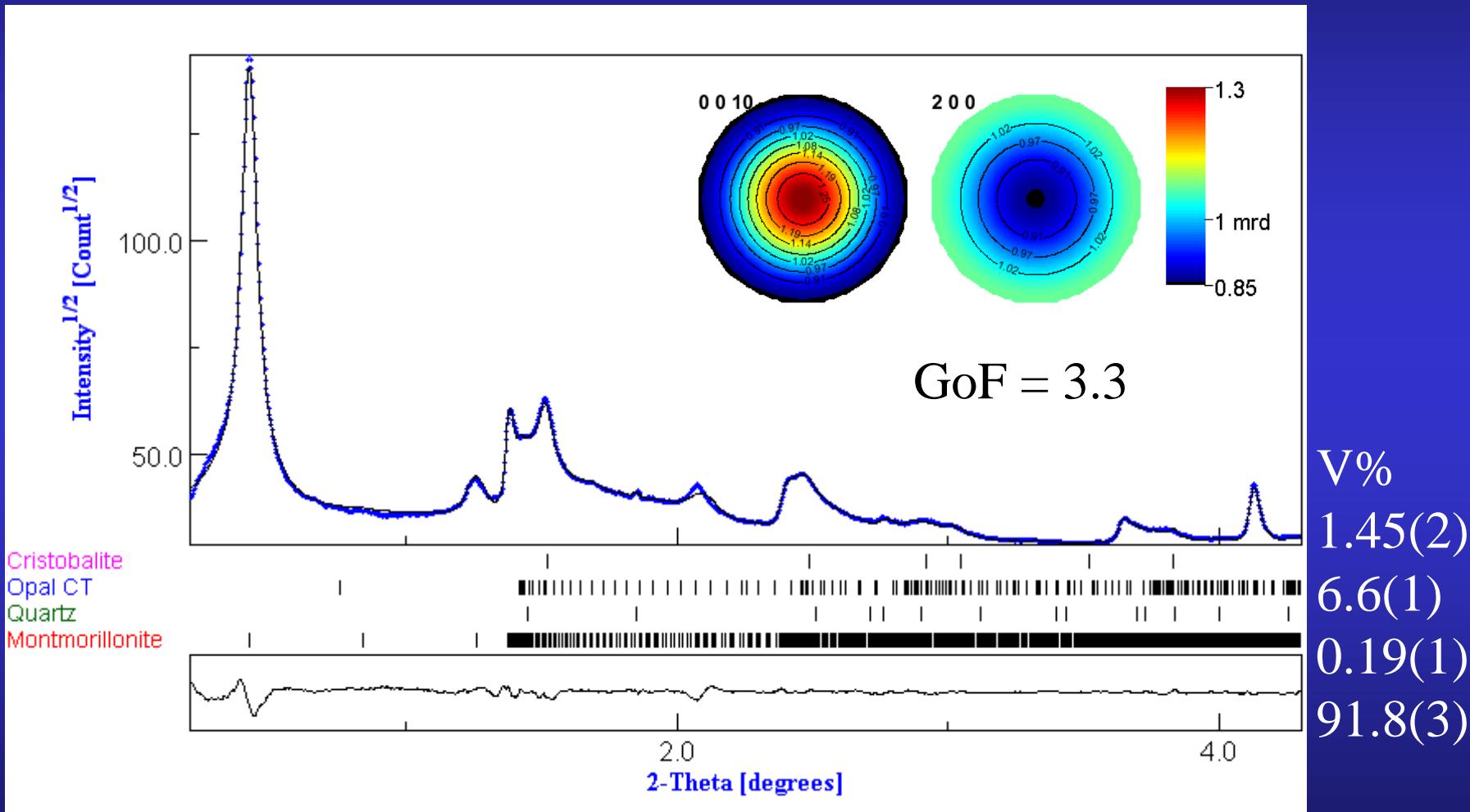
Rexp: 21,3%

for all  $(\chi, \varphi)$  sample orientations

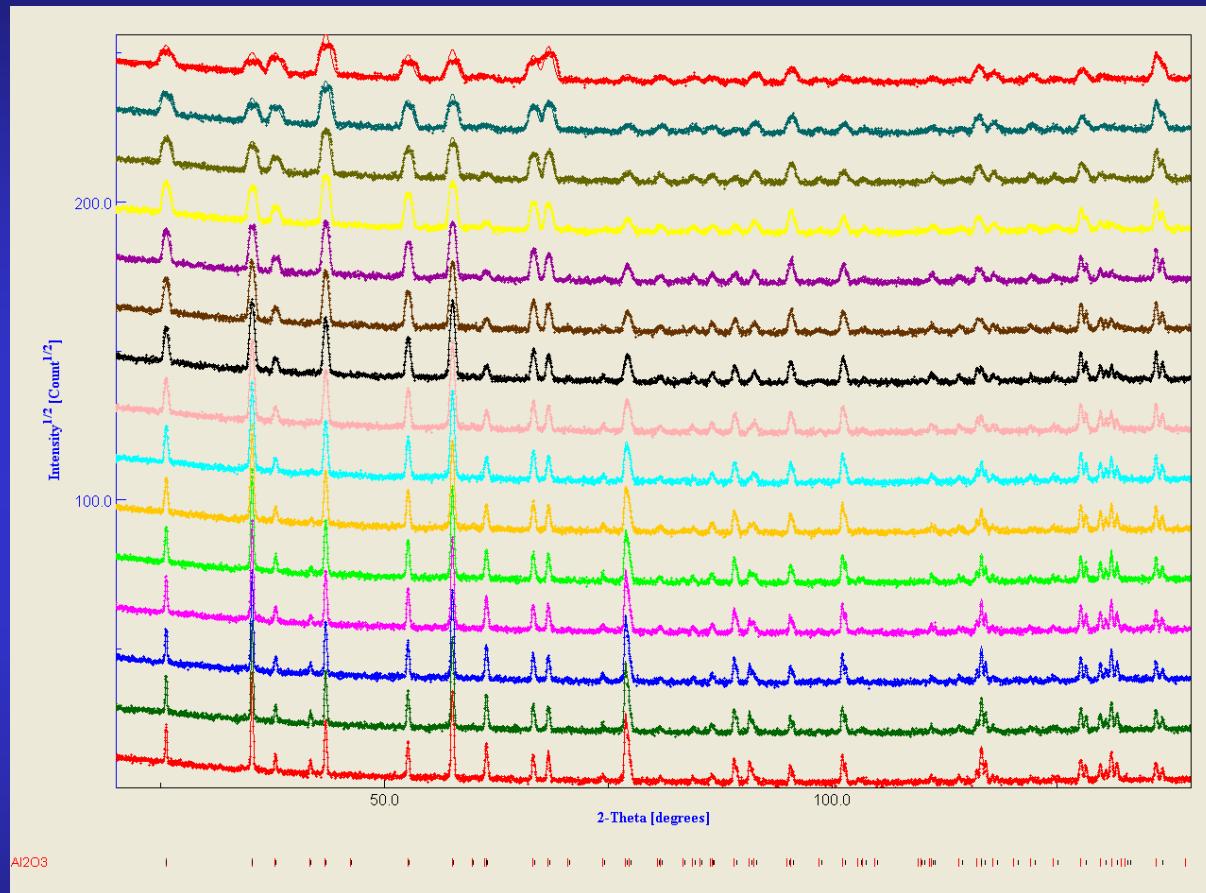


IRC layer of *Charonia lampas lampas* for selected  $(\chi, \varphi)$  sample orientations

# *Turbostratic phyllosilicate aggregates*



# $Al_2O_3$ « standard » powder



2θ-scans:

$$GoF = 1.92$$

$$R_W = 15.60 \%$$

$$R_B = 11.94 \%$$

θ–2θ-scans:

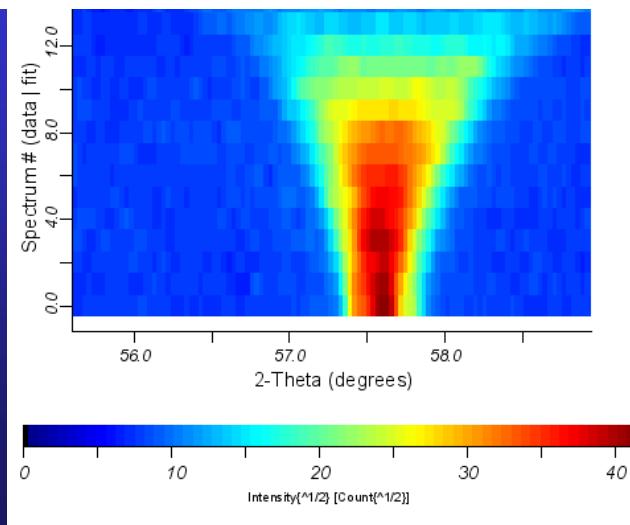
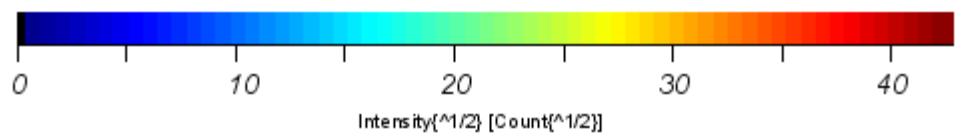
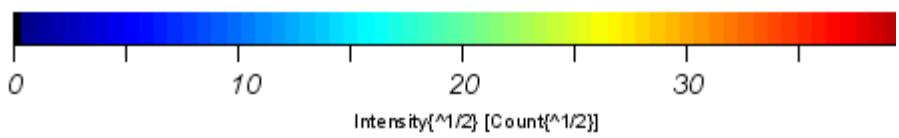
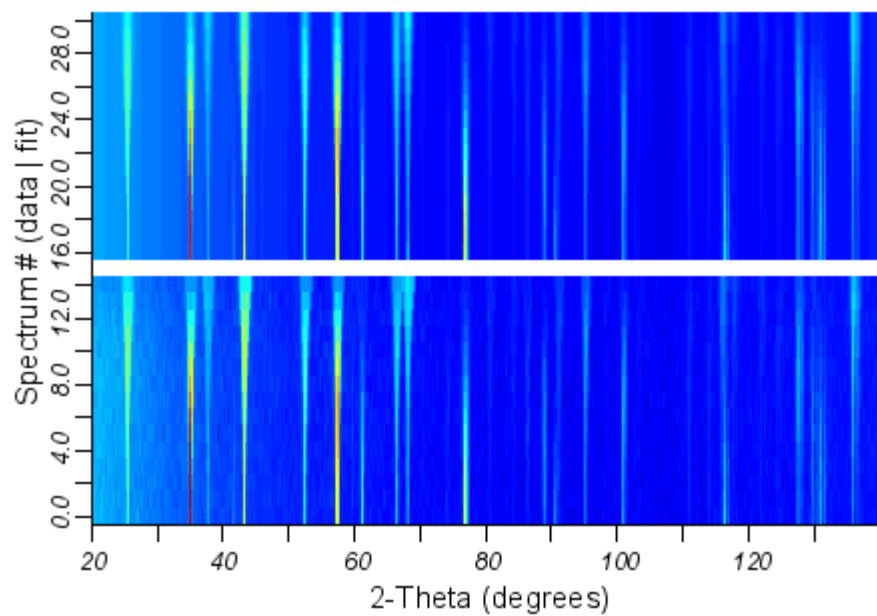
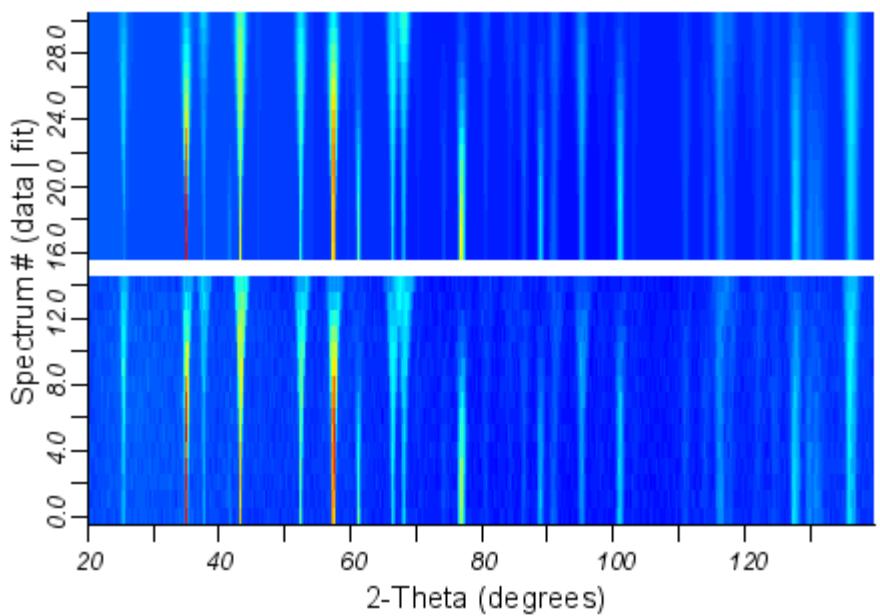
$$GoF = 1.86$$

$$R_W = 16.11 \%$$

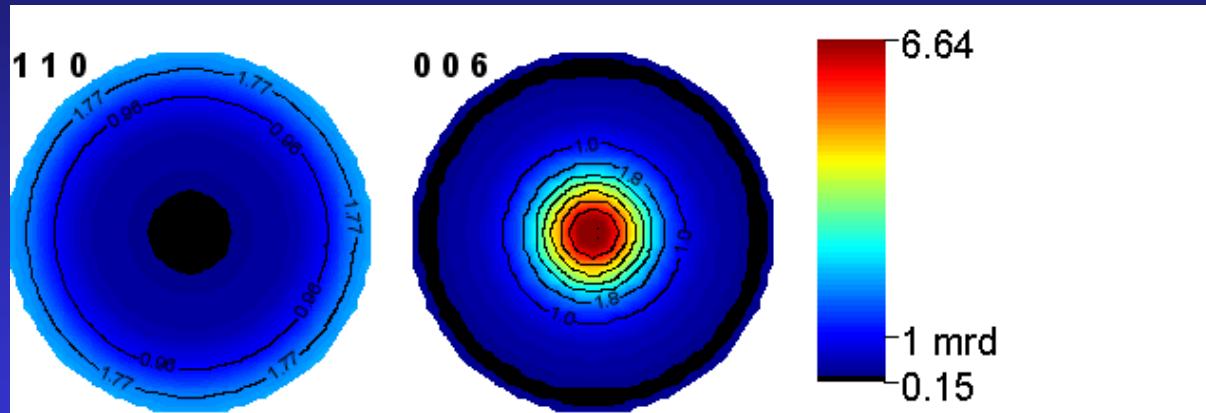
$$R_B = 12.40 \%$$

15 diagrams x 5 mn (fibre texture): 1.25 h

936 diagrams x 5 mn (non symmetric texture): 3.25 days



-70 microns x shift in  $\chi$   
And texture !!



$$R_W (\%) = 9.23$$

$$R_B (\%) = 7.40$$

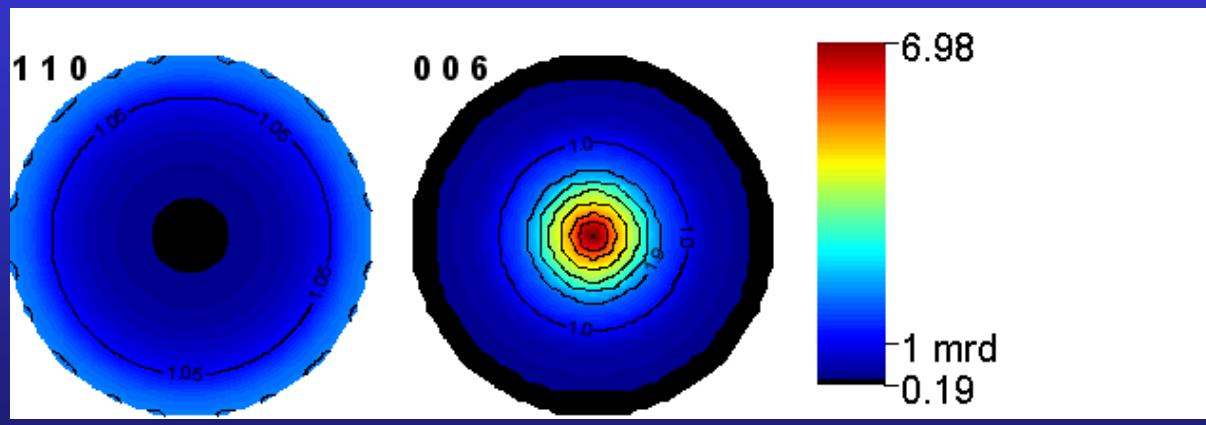
$$a = 4.75611(6) \text{ \AA}$$

$$c = 12.9806(1) \text{ \AA}$$

$$z_{\text{Al}} = 0.35266(3) \text{ \AA}$$

$$x_{\text{O}} = 0.6923(2) \text{ \AA}$$

## Cyclic-fibre texture assumed



$$R_W (\%) = 7.14$$

$$R_B (\%) = 5.64$$

$$a = 4.75874(3) \text{ \AA}$$

$$c = 12.99373(7) \text{ \AA}$$

$$z_{\text{Al}} = 0.35225(2) \text{ \AA}$$

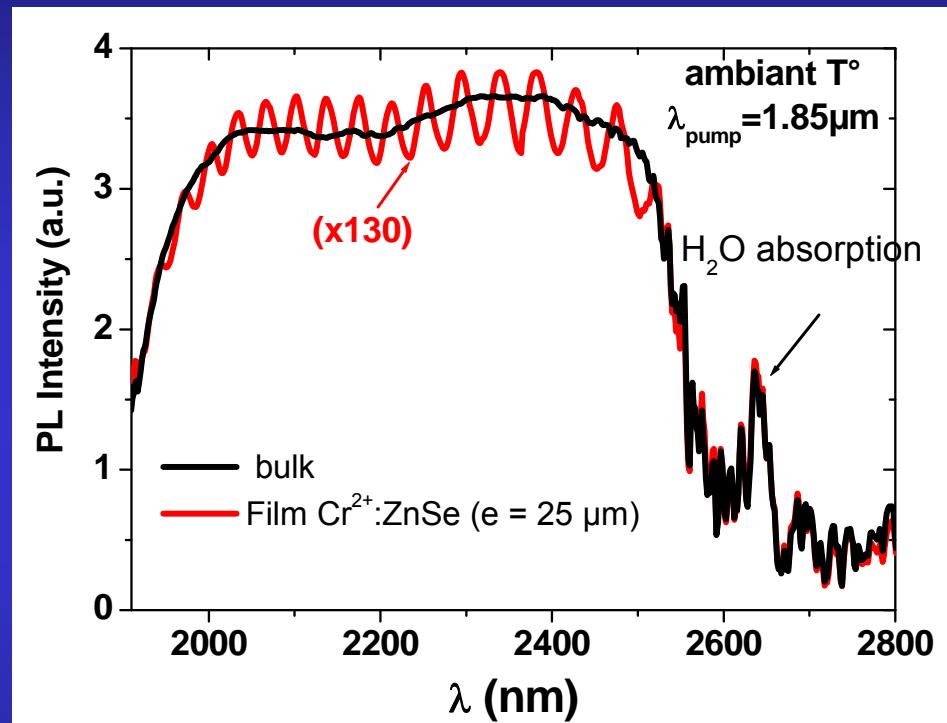
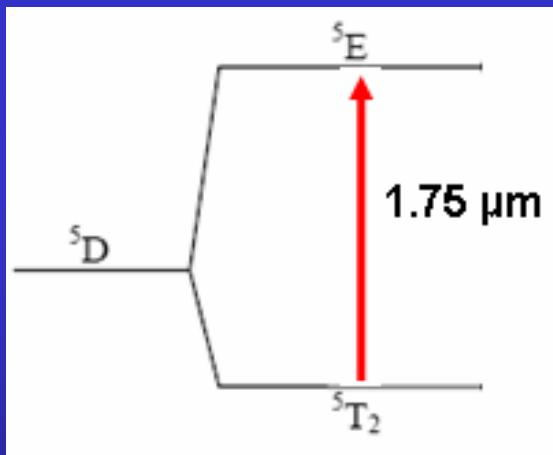
$$x_{\text{O}} = 0.6943(2) \text{ \AA}$$

# *ZnSe:Cr<sup>2+</sup> films*

## *N. Vivet, PhD*

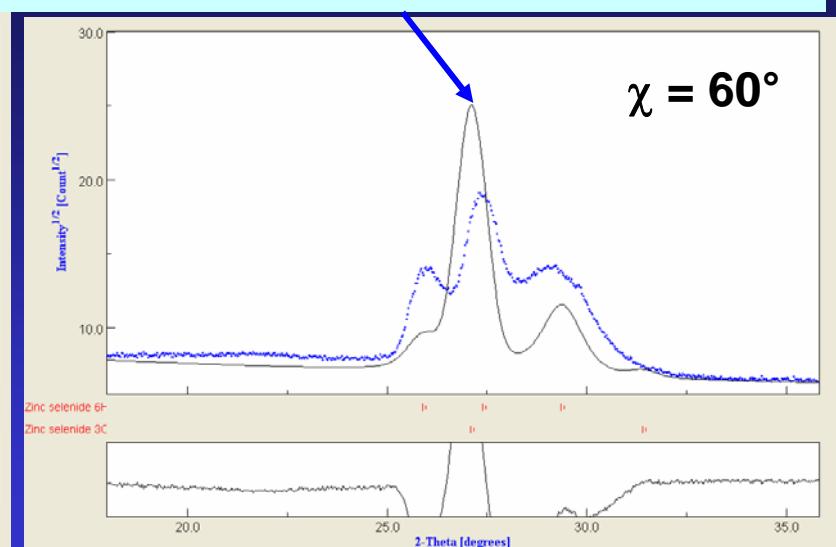
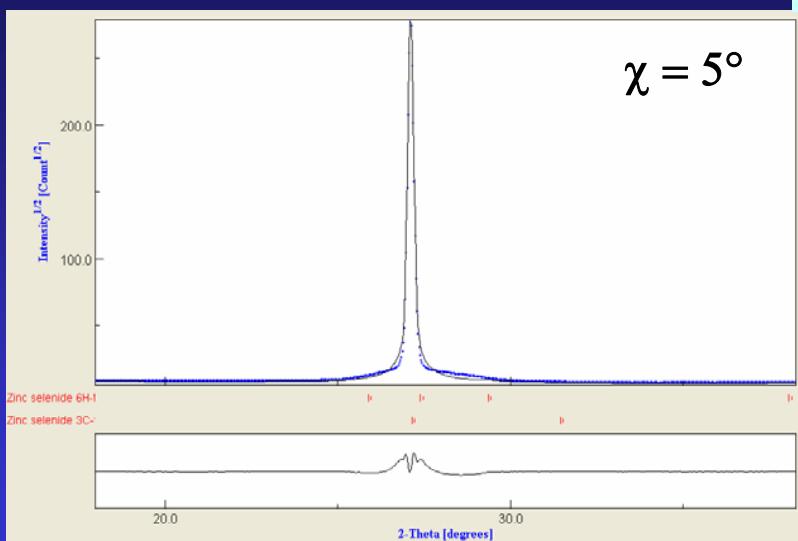
### conditions:

- ◆  $20 \leq T_d \leq 385^\circ\text{C}$
- ◆  $P_{RF} = 50-200\text{W}$
- ◆  $P_{Ar} = 0.5 \text{ Pa and } 2 \text{ Pa}$
- ◆  $d = 7 \text{ and } 10 \text{ cm}$

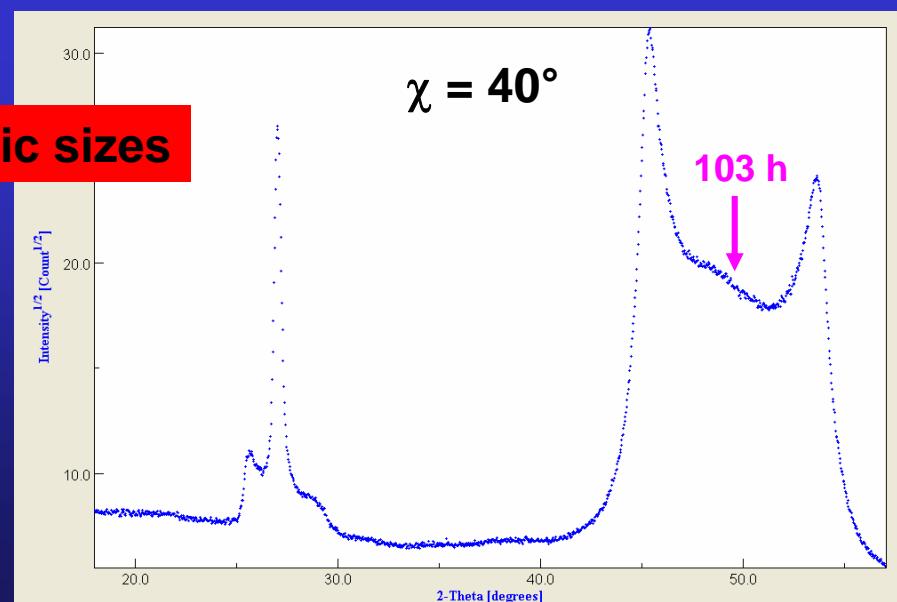
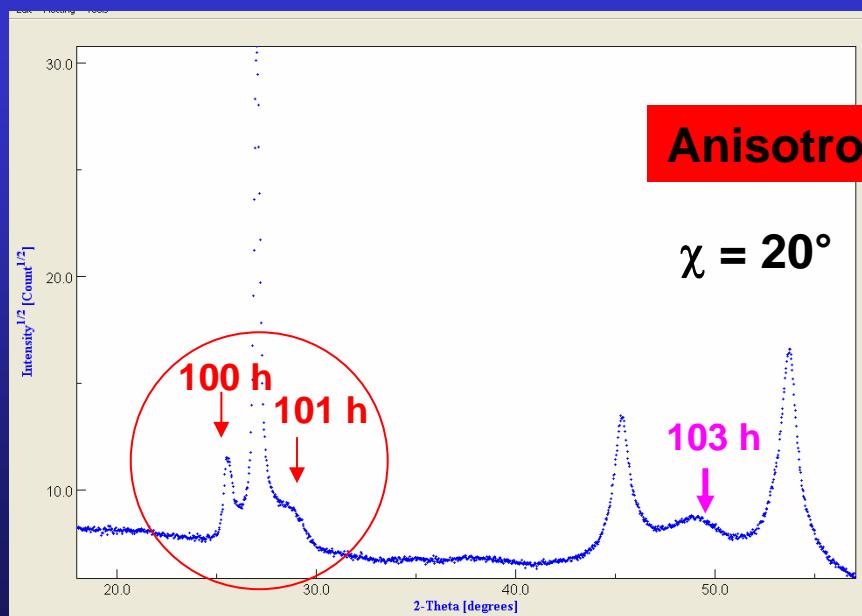


- ◆ Large emission band centred at 2200nm:  $^5E \rightarrow ^5T_2$  transition (Cr<sup>2+</sup>)
  - ◆ Single crystals and thin films: similar spectra

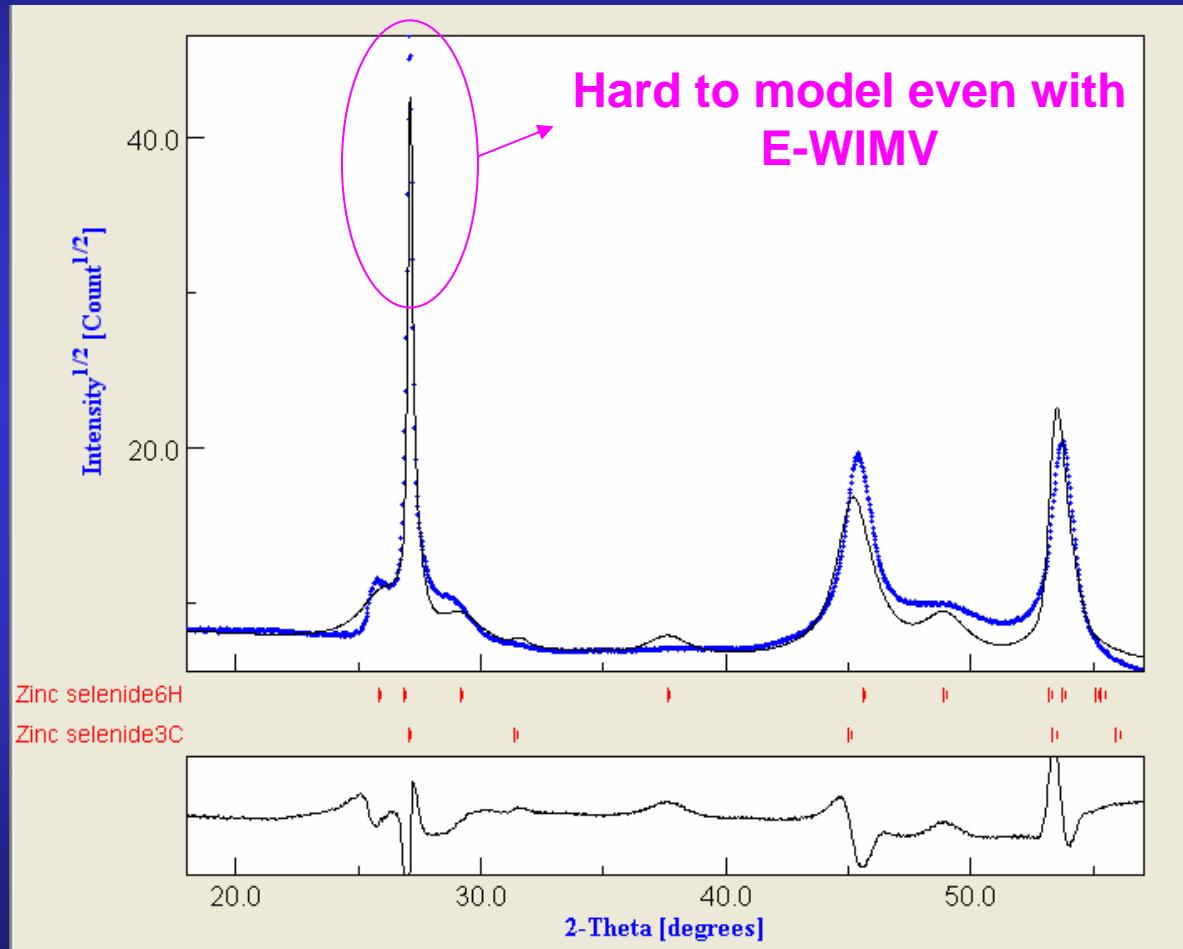
## 111 Peak shifts



Residual stresses and/or stacking faults



Fibre Texture + 2 polytypes (6H and 3C) + anisotropic sizes + residual stresses and/or stacking faults + layering



Sum diagram:  $\omega = 13.65^\circ$ ,  $P_{RF} = 200W$

## Independent measurements

Different wavelengths and rays

Reflectivity: thickness, roughness, electron density profiles

X-ray Fluorescence: composition

Spectroscopies: local structures (PDF, FTIR, Mossbauer ...), eventually anisotropic (P-EXAFS, ESR, Raman ...), Element profiles (SIMS, RBS ...) ...

Physical models: magnetisation, conductivity ...

# Specular reflectivity: $\mathbf{q}=(0,0,z)$

- Fresnel:

$$R(\mathbf{q}) = \left| \frac{q_z - \sqrt{q_z^2 - q_c^2 + \frac{32i\pi^2\beta}{\lambda^2}}}{q_z + \sqrt{q_z^2 - q_c^2 + \frac{32i\pi^2\beta}{\lambda^2}}} \right|^2 \delta q_x \delta q$$

- matrix:

$$R^{flat} = \frac{r_{0,1}^2 + r_{1,2}^2 + 2r_{0,1}r_{1,2} \cos 2k_{Z,1}h}{1 + r_{0,1}^2 r_{1,2}^2 + 2r_{0,1}r_{1,2} \cos 2k_{Z,1}h}$$

- Born approximation:  
Electron Density Profile

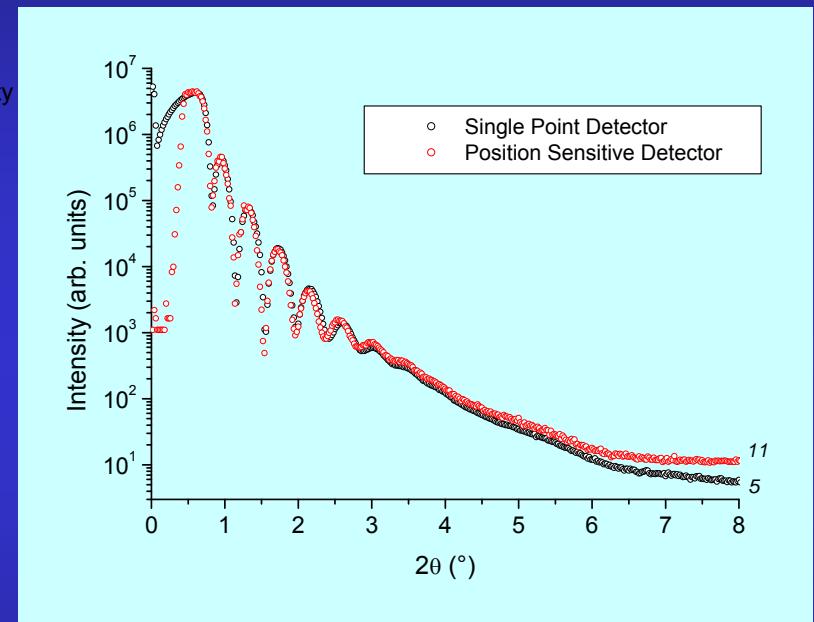
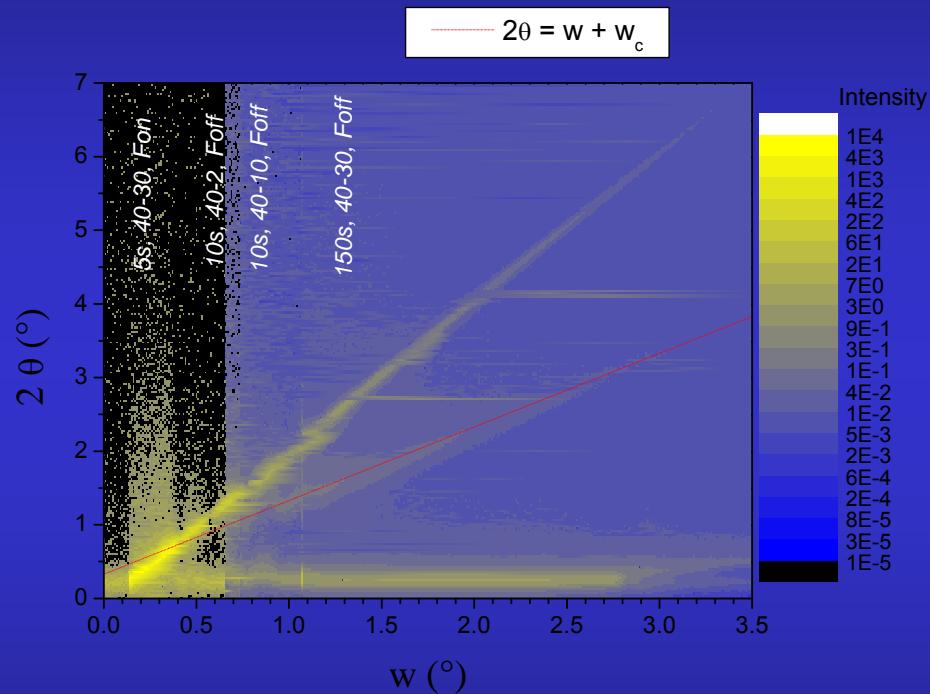
$$R(q_z) = r \cdot r^* = R_F(q_z) \left| \frac{1}{\rho_s} \int_{-\infty}^{+\infty} \frac{d\rho(z)}{dz} e^{iq_z z} dz \right|^2$$

- Roughness:

$$R^{rough}(q_z) = R(q_z) \exp(-q_{z,0} q_{z,1} \sigma^2) \quad \text{Low-angles (reflectivity)}$$

$$S_R = 1 - p \exp(-q) + p \exp\left(\frac{-q}{\sin \theta}\right) \quad \text{high-angle (Suortti)}$$

## CPS scans



Useful for having both specular and off-specular signals in one scan

## *Conclusions*

- a) Texture affects phase ratio and structure determination
- b) Microstructure (crystallite size) affects texture (go to a)
- c) Stresses shift peaks then affects structure and texture determination
- d) Combined analysis may be a solution, unless you can destroy your sample or are not interested in macroscopic anisotropy ...
- e) If you think you can destroy it, perhaps think twice
- f) more information is always needed: local probes ...
- g) Combined Analysis (D. Chateigner Ed), Wiley-ISTE 2010